

**BAMPSL SECURITIES LIMITED**

Regd. Off. : 100-A, Cycle Market,
Jhandewalan Extn., New Delhi-110055

Ref. No. :

Date :

To,
The Department (Listing Operations)
BSE Limited
P J Towers,
Dalal Street, Mumbai -400001

Date: 04.09.2019

Ref: 531591 and Series: EQ

Sub: Submission of Annual Report

Dear Sir/Madam,

Pursuant to the Regulation 34 of Securities exchange of Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015, we hereby submit Annual Report of our Company "Bampsl Securities Limited" for the Financial Year 2018-19.

You are requested to kindly take the above information on record and oblige.

Thanking You
Yours Faithfully
For BAMPSL SECURITIES LIMITED

Bhisham Kumar Gupta
(Managing Director)



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Board of Directors

- | | |
|--------------------------------|-------------------|
| ❖ Mr. Bhasham Kumar Gupta | Managing Director |
| ❖ Mr. Sanjay Sharma | Director |
| ❖ Mr. Vinubhai Prabhudas Patel | Director |
| ❖ Mrs. Chetna Pandey | Director |

Company Secretary

- ❖ Mr. Gurav Gupta

Statutory Auditors

- ❖ M/s ManojVed & Company, Chartered Accountants
C-7/176, Yamuna Vihar, Delhi-110053

Bankers

- | | |
|--|---|
| ❖ State Bank of India
Loha Mandi, Naraina, New Delhi-110002 | ❖ Karnataka Bank Ltd.
Savita Vihar, Delhi-110092 |
| ❖ Punjab National Bank
Patel Nagar, New Delhi | ❖ State Bank of India
Friends Colony, New Delhi-110092 |
| ❖ Andhra Bank
Vishwasnagar, New Delhi-110032 | |

Registrar & Share Transfer Agent

- ❖ Alankit Assignments Ltd.
3E/7, Jhandewalan Extn., New Delhi-110055

Registered Office

- ❖ 100-A, Cycle Market, Jhandewalan Extension, New Delhi-110055
CIN: L74899DL1995PLC065028 Tele No.-011-23556436
Email id: bampslsecurities@yahoo.co.in
Website: www.bampslsecurities.co.in



NOTICE OF THE 24TH ANNUAL GENERAL MEETING

NOTICE IS HEREBY GIVEN THAT THE 24TH ANNUAL GENERAL MEETING OF BAMPSL SECURITIES LIMITED WILL BE HELD ON MONDAY, 30TH SEPTEMBER, 2019 AT 10:00 A.M. AT KHASRA NO 24/15, KAKROLA, NEW DELHI-110043 TO TRANSACT THE FOLLOWING BUSINESS:

A. ORDINARY BUSINESS:

1. To receive, consider and adopt the Audited Balance Sheet of the Company as at 31st March, 2019, the Statement of Profit and Loss and the Cash Flow Statement for the period ended on that date together with the Auditor's Report and the Director's Report thereon.
2. To appoint a Director in place of **Mr. Vinubhai Prabhudas Patel (DIN: 00906780)**, who retires by rotation and being eligible, offers himself for re- appointment.
3. **RE-APPOINTMENT OF M/S MANOJ VED & CO., CHARTERED ACCOUNTANTS (FIRM REG. NO. 017729N) AS STATUTORY AUDITOR OF THE COMPANY AND TO FIX THEIR REMUNERATION**

To consider and if thought fit, to pass with or without modification(s) the following resolution as Ordinary Resolution:

"RESOLVED THAT pursuant to the provisions of Section 139, 142 and other applicable provisions of the Companies Act, 2013, if any, read with the Companies (Auditor & Auditors) Rules, 2014, including any statutory enactment or modification thereof, the consent of members of the Company be and are hereby accorded for re-appointment of M/s ManojVed & Co., Chartered Accountants, (Firm Registration Number: 017729N) as Statutory Auditors of the Company starting from the conclusion of this Annual General Meeting to till the conclusion of 27th Annual General Meeting to be held in F.Y 2021-22 at such remuneration as decided by the Board of Directors in consultation with the Statutory Auditors plus reimbursement of travelling and out of pocket expenses incurred by them for the purpose of audit."

B. SPECIAL BUSINESS:

4. **RE-APPOINTMENT OF MR. BHISHAM KUMAR GUPTA, (DIN: 00110915) AS MANAGING DIRECTOR OF THE COMPANY**

To consider and if thought fit, to pass with or without modification(s) the following resolution as **Ordinary Resolution:**

RESOLVED THAT pursuant to the provisions of the Sections 197, 198 and 203 read with the Schedule V and other applicable provisions, if any, of the Companies act, 2013, (including any statutory modifications or re-enactment(s) thereof, for the time being in force), the consent of the members of the company be and is hereby accorded for re-appointment of Mr. Bhasham Kumar Gupta as Managing director of the Company for a period of five years w.e.f 01.04.2019 on the existing terms and conditions as approved by the Nomination and Remuneration Committee of the Company.

**BY ORDER OF THE BOARD
For Bampsi Securities Limited**

**Sd/-
Bhasham Kumar Gupta
(Managing Director)**

**Date: 4-9-2019
Place: New Delhi**



NOTES:

1. **A MEMBER ENTITLED TO ATTEND AND VOTE AT THE ANNUAL GENERAL MEETING IS ENTITLED TO APPOINT A PROXY TO ATTEND AND VOTE ON A POLL INSTEAD OF HIM/HER SELF AND THE PROXY NEED NOT TO BE A MEMBER OF THE COMPANY.**
2. Pursuant to the provisions of Section 105 of the Companies Act, 2013, a person can act as a proxy on behalf of not more than fifty members and holding in aggregate not more than ten percent of the total Share Capital of the Company. Members holding more than ten percent of the total Share Capital of the Company may appoint a single person as proxy, who shall not act as a proxy for any other Member. The Instrument of Proxy, in order to be effective, should be deposited at the Registered Office of the Company, duly completed and signed, not less than 48 hours before the commencement of the meeting.
3. An explanatory statement pursuant to Section 102 of the Companies Act, 2013, relating to the Special Business to be transacted at the Annual General Meeting is annexed hereto.
4. Corporate members intending to send their authorized representatives to attend the AGM are requested to send a certified copy of the Board Resolution authorizing their representative to attend and vote on their behalf at the meeting.
5. The register of Members and Share transfer books of the Company will remain closed from 24th September, 2019 to 30th September, 2019 (both days inclusive).
6. Members /Proxies attending the meeting are requested to bring their Copy of Annual Report to the Meeting.
7. Queries proposed to be raised at the Annual General Meeting may be sent to the Company at its registered office at least Seven days prior to date of AGM to enable the Management to compile the relevant information to reply the same in the Meeting.
8. Members are requested to notify any change in their address/mandate/bank details immediately to the share transfer agent of the Company at the following address :-
M/s Alankit Assignments Limited
3E/7, Jhandewalan Extension
New Delhi-110055
9. The Securities and Exchange Board of India (SEBI) has mandated the submission of copy of PAN card to the Company/ Depository Participants as the case may be. Members holding shares in physical form should submit their PAN details to the Company/RTA.
10. Documents referred to in the Annual General Meeting Notice are open for Inspection at the registered office of the Company at all working days except Saturdays between 11 A.M and 2 P.M up to the date of the Annual General Meeting.
11. The route map of the venue of the Meeting is given in the Notice.
12. **Voting Through electronic means**
 - a. In Compliance with provisions of Section 108 of the Companies Act, 2013 and Rule 20 of the Companies (Management and Administration) Rules, 2014, the Company is pleased to provide Members facility to exercise their right to vote at the Annual General Meeting (AGM) by electronic means and the business may be transacted through e-Voting Services provided by National Securities Depository Limited (NSDL).

Instructions for E-voting are as under:

1. The Notice of the Annual General Meeting (AGM) of the Company inter-alia indicating the process and manner of e-Voting is being sent to all the Members.



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2. NSDL shall be sending the User ID and Password to those Members whose shareholding is in the dematerialized format and whose e-Mail addresses are registered with the Company/Depository Participants. For Members who have not registered their e-Mail address, can use the details as provided in this document.
3. Open email and open PDF file viz; "**Bampsl Securities Limited** e-voting.pdf" with your Client ID or Folio No. as password. The said PDF file contains your user ID and password /PIN for e-voting. Please note that the password is an initial password
4. Launch internet browser by typing the following [URL:https://www.evoting.nsdl.com](https://www.evoting.nsdl.com)
5. Click on "Shareholder - Login".
6. Put User ID and password as initial password noted in step (1) above and Click Login.
7. Password Change Menu appears. Change the password with new password of your choice with minimum 8 digits/characters or combination thereof.
8. Home page of "e-Voting" opens. Click on e-Voting: Active Voting Cycles.
9. Select "EVEN" of (**Bampsl Securities Limited**). Members can cast their vote online from 27thSeptember, 2019 (9:00 am) till 29th September, 2019 (5:00 pm).

Note: e-Voting shall not be allowed beyond said time.

10. Now you are ready for "e-Voting" as "Cast Vote" page opens.
11. Cast your vote by selecting appropriate option and click on "Submit" and also "Confirm", when prompted.
12. Upon confirmation the message "Vote cast successfully" will be displayed.
13. Once you "CONFIRM" your vote on the resolution, you will not be allowed to modify your vote.
14. Institutional shareholders (i.e., other than Individuals, HUF, NRI etc.) are also required to send scanned copy (PDF/JPG Format) of the relevant Board Resolution/Authority Letter etc. together with attested specimen signature of the duly Authorized signatory(ies) who are authorized to vote, to the Scrutinizer through e-mail (mandalmk@gmail.com) with a copy marked to evoting@nsdl.co.in.

General Instructions

1. In case of any queries, you may refer the Frequently Asked Question (FAQs) for Shareholders and e-voting user manual for Shareholders available to the Downloads section of <https://www.evoting.nsdl.com>
2. You can also update your mobile number and e-mail id in the profile details of the folio which may be used for sending future communication(s).
3. The e-voting period commences on 27th September, 2019 (9:00 am) till 29th September, 2019 (5:00 pm). During this period Shareholders of the Company, holding shares either in physical form or in dematerialized form, as on the cutoff date (record date) of 23rd September, 2019, may cast their vote electronically. The e-voting module shall be disabled by NSDL for voting thereafter. Once the vote on a resolution is cast by the shareholder, the shareholder shall not be allowed to change it subsequently.
4. The voting rights of shareholders shall be in proportion to their shares of the paid-up equity share capital of the Company as on the cut-off date (record date) of 23rd September, 2019.



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5. Since the Company is required to provide members facility to exercise their right to vote by electronic means, shareholders of the Company, holding either in physical form or in dematerialized form, as on the cut-off date of 23rd September, 2019 and not casting their vote electronically, may only cast their vote at the Annual General Meeting.
6. Mr. Manoj Kumar Mandal, Practicing Company Secretary (Membership No.4968) has been appointed as the Scrutinizer to scrutinize the e-voting process in a fair and transparent manner.
7. The Scrutinizer shall immediately after the conclusion voting at the AGM, first count the voting cast at the meeting, thereafter unblock the votes cast through remote e-voting in the presence of at least two (2) witnesses not in the employment of the Company and make a Consolidated Scrutinizer's Report of the votes cast in favour or against, if any, and to submit the same to the Chairman of the AGM not later than three working days from the conclusion of the AGM.
8. The Results shall be declared forthwith after the submission of Consolidated Scrutinizer's Report either by Chairman of the Company or by any person authorized by him in writing and the resolutions shall be deemed to be passed on the AGM date subject to receipt of the requisite number of votes in favour of the Resolutions.
9. The Results declared along with the Scrutinizer's Report(s) will be available on the website of the Company www.bampslsecurities.co.in and on the website of NSDL immediately after the declaration of the results by the Chairman.



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EXPLANATORY STATEMENT PURSUANT TO THE PROVISIONS OF SECTION 102 OF THE COMPANIES ACT, 2013

As required under section 102 of the Companies Act, 2013 the following Explanatory Statement sets out all material facts relating to the Special Business set out from Item No. 3 & 4 of the accompanying Notice.

Item No. 3: On recommendation of the Audit Committee, the Board of Directors of the company proposes to appoint M/s ManojVed& Company, Chartered Accountants (FRN:017729N), as the Statutory Auditors of the Company starting from the conclusion of this Annual General Meeting to till the conclusion of 27th Annual General Meeting to be held in F.Y 2021-22 at such remuneration as decided by the Board of Directors in consultation with the Statutory Auditors plus reimbursement of travelling and out of pocket expenses incurred by them for the purpose of audit.

The proposed fees to be paid to the new auditor and the term and condition of the appointment will be same as the outgoing auditor. A brief profile of the Statutory Auditors to be appointed is given below:

Manoj & Company, Chartered Accountants, has firm registration number 017729N. CA Manoj Kumar Gupta, Proprietor of M/s ManojVed& Company, is a member of Institute of Chartered Accountants of India(ICAI) having membership number 095689 and holding a valid Certificate of Practice since 01.04.1998.

Item No. 4: Based on recommendation of Nomination and Remuneration Committee, the Board of Directors proposes the re-appointment of Mr. Bisham Kumar Gupta (DIN:00110915) as Managing Director, for a second term of five years from April 1, 2019 to March 30, 2024. The Company has, in terms of Section 160(1) of the Act received in writing a notice from a Member, proposing his candidature for the office of Director.

Mr. Bisham Kumar Gupta was appointed as Managing Director of the Company under the Companies Act, 2013 and the same has been approved by the members of the company in Annual General Meeting. He has completed his first term as Managing Director on 31.03.2019.

He has credit years of industrial experience, majority of which has been spent in the company. He largely responsible for the efficient operations of the company. Mr. Bishamkumar Gupta is considered essential for successful completion of project and smooth operations. The Board of Director of the Company at their meeting held on 29.05.2019, considered and decided to entrust him with increased role and responsibility by elevating him as Managing Director of the Company at the existing terms and conditions. The term of his appointment as Managing Director will for a period of five years w.e.f 01.04.2019.

Additional information in respect of Mr. Bisham Kumar Gupta, pursuant to Regulation 36 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 and the Secretarial Standard on General Meetings (SS-2), is given at Annexure A to this Notice.

In terms of the provisions of the Companies Act, 2013, consent of the shareholders is required for a appointment of Mr. Bisham Kumar Gupta as Managing Director of the Company. The Board recommend the resolution as set out in Item No. 3 for approval of the members as ordinary resolution.

None of the Directors of the Company except Mr. Bisham Kumar Gupta, is concerned or interested in the proposed resolution.

BY ORDER OF THE BOARD
For Bampsi Securities Limited

Sd/-
Bhisham Kumar Gupta
Managing Director

Date: 4-9-2019
Place: New Delhi



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Annexure-A

Details of Directors seeking appointment/re-appointment at the at the 24th Annual General Meeting to be held on September 30, 2019
[Pursuant to Regulation 36(3) of the SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015]

Name of the Director	Mr. VinubhaiPrabhudas	Mr. Bhisham Kumar Gupta
Date of Birth	08-03-1957	19-09-1963
Age	62	56
Date of Appointment	12-08-2017	01-04-2014
Relationship with Directors and Key Managerial Person	NA	NA
Expertise in specific functional area*	Having good Financial and Banking Knowledge	Wide experience in handling day to day affairs
Qualification(s)	Post-Graduation	Post- Graduation
Board Membership of other listed Companies as on March 31, 2019	NA	NA
Number of equity shares held in the Company as on March 31, 2019	NA	3686588



DIRECTOR'S REPORT

**TO THE MEMBERS OF
BAMPSL SECURITIES LIMITED**

Your Directors take pleasure in presenting the 24th Annual Report on the business and operations of the Company and the Audited Financial Statement of the Company for the Financial Year ended 31st March, 2019.

1. FINANCIAL SUMMARY OR HIGHLIGHTS/PERFORMANCE OF THE COMPANY (Standalone)

The performance of the Company for the Financial Year ended 31st March, 2019 is summarized below :

Particulars	F.Y 2018-2019	F.Y 2017-2018
	(In rupees)	(In rupees)
Gross Income	14,86,78,708.08	13,30,09,794.72
Expense	14,91,31,066.61	13,19,51,641.00
Finance Charges	NIL	NIL
Gross Profit	-4,52,358.00	10,58,153.72
Provision for Depreciation	3,90,678.00	8,54,541.85
Net Profit Before Tax	(8,43,036.53)	2,03,611.87
Tax Expense:		
i. Current Tax	—	2,50,000.00
ii. Adjustment for previous year	1,16,515.76	(19,68,895.92)
iii. Deferred Tax	9,720.00	(1,51,907.00)
Net Profit After Tax	(9,69,272.29)	20,74,414.79

2. BRIEF DESCRIPTION OF THE COMPANY'S WORKING DURING THE YEAR/STATE OF COMPANY'S AFFAIR

The Company continues to be engaged in the business of dealing and investing in shares and other securities along with financial services like spot financing, short term financial accommodation, long term financial accommodation, and in other similar financial sector. For F.Y. 2018-2019, the Company earned revenue of Rs. 14,86,78,708.08/-.

3. CHANGE IN THE NATURE OF BUSINESS, IF ANY

During the year under review the Company has not changed the nature of business.

4. DIVIDEND

On account of the liquidity needs against the business expansion plans in hand, no dividend is recommended for the current year.

5. BONUS SHARE

During the financial year 2018-19, the company has not recommended the Bonus Shares.

6. RESERVES

The Amount of Rs. 1659531.83 is transferred to General Reserve and Rs. 414882.96 is transferred to Statutory Reserve under section 451 C of RBI Act, 1934.



7. CHANGE OF NAME

During the year under review the Company has not changed the name of Company.

8. SHARE CAPITAL

As on 31st March, 2019, the issued, subscribed and paid up share capital of our Company stood at Rs. 34,03,51,600 (Rs Thirty Four Crore Three Lacs Fifty One Thousand & Six Hundred) comprising 3,40,35,160 (Three Crore Forty Lacs Thirty Five Thousand One Hundred Sixty) Equity Shares of Rs. 10 each. During the year under review there has been no change in the issued, subscribed and paid up share capital of the company.

Issue of Equity Shares with Differential Rights

During the year under review, the Company has not issued shares with differential voting rights.

Issue of Sweat Equity Share

During the year under review, the Company has not granted sweat equity shares..

9. DIRECTORS AND KEY MANAGERIAL PERSONNEL

Your Company's Board has an optimum combination of Executive, Non Executive and Independent Directors. The Composition of the Board and the Independent Directors of the Company meet the criteria mandated by SEBI (Listing & Disclosure Requirement) Regulations, 2015 and the Companies Act, 2013.

Mr. VinubhaiPrabhudas Patel is liable to retire by rotation at the forthcoming Annual General Meeting and being eligible, offer himself for reappointment.

The Company has received necessary declarations from each of the Independent Directors under section 149(7) of the Companies Act, 2013 that he/she meets the criteria of the independence as laid down in section 149(6) of the Companies Act, 2013 and Regulation 16(1) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Mr. Gaurav Gupta, Company Secretary and Compliance Officer of the Company has resigned from the company w.e.f. 31.03.2019.

10. PARTICULARS OF EMPLOYEES

The Company during the period under review did not have any person on its rolls with an annual remuneration of Rs.1,02,00,000 or above or employed part of the year with a remuneration of Rs.8,50,000 or above per month or employed throughout the year or part thereof, with a remuneration in that year which, in the aggregate, or as the case may be, at a rate which, in the aggregate, is in excess of that drawn by the managing director or whole time director or manger and holds by himself or along with his spouse and dependent children, not less than two percent of the equity shares of the company.

11. POLICY ON DIRECTOR'S APPOINTMENT AND REMUNERATION

The Board has constituted a Nomination & Remuneration Committee for formulating the criteria for determining qualifications, positive attributes and independence of a director, identify persons who are qualified to become Director and persons who may be appointed in Key Managerial and Senior Management positions in accordance with the criteria laid down in Nomination & Remuneration policy and to recommend to the Board, appointment and removal of Director, KMP and Senior Management Personnel. The objective of the Nomination & Remuneration Policy is also to set out the principles governing the Company's Remuneration systems in organizational guidelines. The Nomination and Remuneration Policy of the Company is stated in the Corporate Governance Report.

12. BOARD EVALUATION

The Company has adopted a Performance Evaluation Policy for evaluation of performance of Independent Directors, Board, Committees and other individual Directors (non-executive directors and executive directors). On the basis of this Policy a process of evaluation is being followed by the Board for evaluation of its own performance and that of its Committees and individual Directors.

The performance of the committees was evaluated by the Board after getting an evaluation report from the members of each committee which evaluates the performance of the Committee against its stated objectives and responsibilities, effectiveness of committee meetings, etc. during the year. The Manner in which the evaluation has been carried out has been explained in the Corporate Governance Report.



13. DIRECTOR'S RESPONSIBILITY STATEMENT

Pursuant to the requirement clause (c) of sub-section (3) of Section 134 of the Companies Act, 2013, the Directors state that—

- (a) In the preparation of the annual accounts, the applicable accounting standards had been followed along with proper explanation relating to material departures, if any;
- (b) Accounting policies have been selected and applied them consistently and judgments and estimates made are reasonable and prudent so as to give a true and fair view of the state of affairs of the company at the end of the close of the financial year and of the profit and loss of the company for that period;
- (c) Proper and sufficient care has been taken for the maintenance of adequate accounting records in accordance with the provisions of this Act, 2013, for safeguarding the assets of the company and for preventing and detecting fraud and other irregularities;
- (d) The annual accounts have been prepared on a going concern basis;
- (e) Internal financial controls have been laid down to be followed by the company and that such internal financial controls are adequate and were operating effectively.
- (f) Proper systems have been devised to ensure compliance with the provisions of all applicable laws and that such systems were adequate and operating effectively.

14. AUDITORS AND AUDITOR'S REPORT

Statutory Auditors

On the recommended of Board of Directors of the Company and the approval of the shareholders at General Meeting M/s ManojVed& Company, Chartered Accountants (ICAI Registration No.- 017729N) were appointed as the Statutory Auditors of the Company to fill the casual vacancy created on resignation of M/s G.C. Agarwal & Associates, Chartered Accountants for the Financial Year 2018-19.

In line with the requirements of Companies Act, 2013 M/s ManojVed& Company, Chartered Accountants (ICAI Registration No.- 017729N) are eligible for re-appointment as statutory auditors of the company. M/s ManojVed& Company, Chartered Accountants (ICAI Registration No.- 017729N), are recommended for appointment based on their consent and certificate furnished by them in terms of Section 141 of the Companies Act, 2013 as the Statutory Auditors of the Company for a period of 4 (four) years from the conclusion of ensuing Annual General Meeting till the conclusion of Annual General Meeting to be held in the year 2023.

The requirement for the annual ratification of the auditor's appointment at the AGM has been omitted pursuant to Companies (amendment) Act, 2017 notified on May 7, 2018.

Cost Auditors

Since the Company does not fulfill the provisions of Section 148(3) of Companies Act, 2013 and rule 6(2) & 6(3A) of the Companies (Cost Records and Audit) Rules, 2014 therefore Cost Audit is not applicable.

Secretarial Auditor

In terms of Section 204 of the Act and Rules made there under, M/s M. K. Mandal & Associates, Practicing Company Secretary have been appointed Secretarial Auditors of the Company. The report of the Secretarial Auditors is enclosed as Annexure III to this report. The report is self-explanatory and do not call for any further comments.

Internal Audit and Control

The Company engages qualified and independent Internal Auditor to conduct internal audit of the books of accounts of the company. During the year, the Company continued to implement their suggestions and recommendations to improve the control environment. Their scope of work includes review of processes for safeguarding the assets of the Company, review of operational efficiency, effectiveness of systems and processes, and assessing the internal control strengths in all areas. Internal Auditors findings are discussed with the process owners and suitable corrective actions taken as per the directions of Audit Committee on an ongoing basis to improve efficiency in operations.



15. DETAILS OF SUBSIDIARY/JOINT VENTURES/ASSOCIATE COMPANIES

During the year under review the Company has no Subsidiary/Joint Ventures/Associate Companies in pursuance to sub-section (3) of section 129 of the Act.

16. CORPORATE SOCIAL RESPONSIBILITY (CSR)

Since the Company does not fulfill the provisions of Section 135 of Companies Act, 2013, therefore Corporate Social Responsibility is not applicable.

17. INTERNAL FINANCIAL CONTROLS

The Company has in place adequate internal financial controls with reference to financial statements. During the year, such controls were tested and no reportable material weaknesses in the design or operation were observed.

18. MEETINGS

A calendar of Meetings is prepared and circulated in advance to the Directors. During the year ten Board Meetings and five Audit Committee Meetings, One Nomination & Remuneration Committee Meetings were convened and held. The details of which are given in the Corporate Governance Report. The intervening gap between the Meetings was within the period prescribed under the Companies Act, 2013.

19. PARTICULARS OF LOANS, GUARANTEES OR INVESTMENTS UNDER SECTION 186

The Company has not taken/made any Loans, Guarantees and Investments under the provisions of Section 186 of the Companies Act, 2013 during the financial year ended 31st March, 2019.

20. PARTICULARS OF CONTRACTS OR ARRANGEMENTS WITH RELATED PARTIES

The company has not entered into contract or arrangements with related parties referred to in sub-section (1) of section 188 of the Companies Act, 2013 including certain arm's length transactions during the Financial Year ended 31st March, 2019.

21. CONSERVATION OF ENERGY, TECHNOLOGY ABSORPTION AND FOREIGN EXCHANGE EARNINGS AND OUTGO

The current nature of the business of the Company of dealing in shares and securities does not entail it to reporting matters on energy conservation, technology absorption and the other matters. However, there was no foreign exchange inflow or outgo during the period under report.

22. VIGIL MECHANISM/ WHISTLE BLOWER POLICY

The Vigil Mechanism/Whistle Blower Policy of the Company aims to provide an avenue for directors and employees to raise concerns of any violations of legal or regulatory requirements, incorrect or misrepresentation of any financial statements and reports, unethical behavior, violation of Code of Conduct, etc. This policy also aims to create an environment where individuals feel free and secure to raise the alarm where they see a problem. It also ensures that whistle blowers are protected from retribution, whether within or outside the organization. The Vigil Mechanism Policy has been uploaded on the website of the Company at www.bampslsecurities.co.in under Vigil Mechanism/Whistle Blower Policy link.

23. RISK MANAGEMENT POLICY

During the year under review, the Company has not constituted any risk management policy.

24. EXTRACT OF ANNUAL RETURN

As required pursuant to section 92(3) of the Companies Act, 2013 and rule 12(1) of the Companies (Management and Administration) Rules, 2014, an extract of annual return in **MGT-9** as a part of this Annual Report is enclosed as **ANNEXURE I**.

25. DISCLOSURES

Your Directors state that no disclosure or reporting is required in respect of the following items as there were no transactions on these items during the year under review:



1. Issue of equity shares with differential rights as to dividend, voting or otherwise.
2. Issue of shares (including sweat equity shares) to employees of the Company under any scheme.
3. Neither the Managing Director nor the Whole-time Directors of the Company receive any remuneration or commission from any of its related company.
4. No significant or material orders were passed by the Regulators or Courts or Tribunals which impact the going concern status and Company's operations in future.

26. MATERIAL CHANGES AND COMMITMENTS, IF ANY, AFFECTING THE FINANCIAL POSITION OF THE COMPANY WHICH HAVE OCCURRED BETWEEN THE END OF THE FINANCIAL YEAR OF THE COMPANY TO WHICH THE FINANCIAL STATEMENTS RELATE AND THE DATE OF THE REPORT

The Disclosure regarding the litigation of the company has explained by the Auditor in his Independent Auditor's Report at point 7(b).

27. DETAILS IN RESPECT OF ADEQUACY OF INTERNAL FINANCIAL CONTROLS WITH REFERENCE TO THE FINANCIAL STATEMENTS

The internal financial controls with reference to the Financial Statement are commensurate with the size and nature of business of the Company. Adequate records and documents are maintained as required by laws. The Company's Audit Committee reviewed the internal control system. All efforts are being made to make the internal control systems more effective.

28. DEPOSITS

During the period under review, your Company has not accepted any deposit from public in accordance with Section 73 of the Companies Act, 2013 and rules made thereunder and hence no amount of principal or interest was outstanding as on 31/03/2019. No buy Back of shares was proposed or pending during the financial year ended on 31/03/2019.

29. CORPORATE GOVERNANCE CERTIFICATE

The Compliance certificate from the auditors or practicing company secretaries regarding compliance of conditions of corporate governance as stipulated in Regulation 72 of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 shall be annexed with the report.

30. MANAGEMENT DISCUSSION AND ANALYSIS

The Management Discussion and Analysis forms part of this Annual Report for the year ended 31st March, 2019.

31. HUMAN RESOURCES

Your Company treats its "human resources" as one of its most important assets.

Your Company continuously invests in attraction, retention and development of talent on an ongoing basis. A number of programs that provide focused people attention are currently underway. Your Company thrust is on the promotion of talent internally through job rotation and job enlargement.

32. TRANSFER OF AMOUNTS TO INVESTOR EDUCATION AND PROTECTION FUND

Pursuant to the provisions of the Investor Education Protection Fund (Uploading of information regarding unpaid and unclaimed amounts lying with companies) Rules, 2012, the Company has already filed the necessary form and uploaded the details of unpaid and unclaimed amounts lying with the Company, with the Ministry of Corporate Affairs.

33. LISTING WITH STOCK EXCHANGE

The shares of your Company are listed at Bombay Stock Exchange Limited, Mumbai and paid the Annual Listing Fees to Bombay Stock Exchange (BSE) on time.



34. NUMBER OF COMPLAINTS RECEIVED AND DISPOSED OFF DURING THE YEAR AS PER THE SEXUAL HARASSMENT OF WOMEN AT WORKPLACE (PREVENTION, PROHIBITION AND REDRESSAL) ACT, 2013

During the year under review the company has not received any complaint as per the Sexual Harassment of Women at Workplace (Prevention, Prohibition and Redressal) Act, 2013.

35. SECRETARIAL STANDARDS

The directors state that the applicable secretarial standards, i.e. SS-1 and SS-2 relating to "Meetings of Board of Directors and General Meetings", respectively have been duly followed by the Company.

36. ACKNOWLEDGEMENTS

Your Directors place on record their sincere appreciation for significant contribution made by the employees through their dedication, hard work and commitment. Despite severe competition, the enthusiasm and unstinting efforts of the employees have enabled the Company to remain at the forefront of the industry.

Your Directors take this opportunity to express their deep sense of gratitude to the banks, financial institutions, central and state governments and their departments and the local authorities for their continued guidance and support.

Your Directors are also grateful to the shareholders for their confidence and faith reposed in the Company.

**BY ORDER OF THE BOARD
For Bampsi Securities Limited**

**Sd/-
Bhisham Kumar Gupta
(Managing Director)
DIN : 00110915**

**Sd/-
Sanjay Sharma
(Director)
DIN : 07342776**

**Place: New Delhi
Date: 29.05.2019**



ANNEXURE INDEX

I. Annual Return Extracts in MGT-9



II. Format of Declaration by Independent Director



III. MR-3 Secretarial Audit Report



BAMPSL SECURITIES LIMITED ANNUAL REPORT 2018-2019

Annexure I- EXTRACT OF ANNUAL RETURN (FORM NO. MGT 9) as on financial year ended on 31.03.2019

Pursuant to Section 92 (3) of the Companies Act, 2013 and rule 12(1) of the Company (Management & Administration) Rules, 2014.

I. REGISTRATION & OTHER DETAILS:

1.	CIN	L65100DL1995PLC065028
2.	Registration Date	03/02/1995
3.	Name of the Company	BAMPSL SECURITIES LIMITED
4.	Category/Sub-category of the Company	Public Company NBFC Limited by Shares Company having share capital
5.	Address of the Registered office & contact details	100-A Cycle Market, Jhandewalan Extn. New Delhi-110055 Telephone : 91-011-23556436, Fax Number : 91-011-23617013 Email Address : bampslsecurities@yahoo.co.in
6.	Whether listed company	Yes BSE Ltd. (Stock Exchange), Code-531591
7.	Name, Address & contact details of the Registrar & Transfer Agent, if any.	Alankit Assignments Limited 3E/7 ,Jhandewalan Extn. New Delhi-110055 Telephone :91-11-42541234,91-11-23552001 info@alankit.com

II. PRINCIPAL BUSINESS ACTIVITIES OF THE COMPANY

All the business activities contributing 10 % or more of the total turnover of the company shall be stated

S. No.	Name and Description of main products / services	NIC Code of the Product/service	% to total turnover of the company
1	To invest in, acquire and hold, buy or sell or otherwise dispose of or deal in securities and to provide financial & Investment assistance.	803	100

III. PARTICULARS OF HOLDING, SUBSIDIARY AND ASSOCIATE COMPANIES :

S.No.	Name and Address of the Company	CIN/GLN	Holding/ Subsidiary/ Associate	% of shares held	Applicable Section
NIL					

IV. SHARE HOLDING PATTERN (Equity Share Capital Breakup as percentage of Total Equity)

A. Category-wise Share Holding

Category of Shareholders	No. of Shares held at the beginning of the year				No. of Shares held at the end of the year				% Change during the year
	Demat	Physical	Total	% of Total Shares	Demat	Physical	Total	% of Total Shares	
A. Promoters									
(1) Indian									



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a) Individual/ HUF	3686588	NIL	3686588	10.83	3686588	NIL	3686588	10.83	NIL
b) Central Govt	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
c) State Govt(s)	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
d) Bodies Corp.	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
e) Banks / FI	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
f) Any other	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
Total shareholding of Promoter (A)	3686588	NIL	3686588	10.83	3686588	NIL	3686588	10.83	NIL
B. Public Shareholding									
1. Institutions	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
a) Mutual Funds	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
b) Banks / FI	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
c) Central Govt	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
d) State Govt(s)	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
e) Venture Capital Funds	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
f) Insurance Companies	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
g) FII's	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
h) Foreign Venture Capital Funds	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
i) Others (specify)	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
Sub-total (B)(1):-	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
2. Non- Institutions									
a)Bodies Corp.									
i)Indian	11356694	NIL	11356694	32.34	11046132		11046132	32.45	
ii)Overseas	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL
b) Individuals									
i) Individual shareholders holding nominal share capital up to Rs. 2 lakh	4442939	239433	4682372	13.68	4418641	239433	4658074		
ii) Individual shareholders holding nominal share capital in excess of Rs 2 lakh	12663421	121728	12785149	37.56	13022015	121728	13143743	38.61	
b) Others (specify)									
Non Resident Indians	110123	6	110129	0.32	108153	6	108159	0.31	



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Client Margin/Clearing Member	4500	NIL	4500	0.13	8658	NIL	8658	.02	
Resident (HUF)	1409728	NIL	1409728	4.14	1383356	NIL	1383356	4.06	
Sub-total (B)(2):-	6644085	NIL	6644085	2.24	1524351	6	1524357	4.47	
Total Public Shareholding (B)=(B)(1)+(B)(2)	29987405	361167	30348572	89.16	29987405	361167	30348572	89.16	
C. Shares held by Custodian for GDRs & ADRs	NIL	NIL	NIL	NIL	NIL	NIL	NIL	NIL	
Grand Total (A+B+C)	33673993	361167	34035160	100	33673993	361167	34035160	100	

B. Shareholding of Promoter

S · N O ·	Shareholder's Name	Shareholding at the beginning of the year			Shareholding at the end of the year			% change in shareholding during the year
		No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	No. of Shares	% of total Shares of the company	% of Shares Pledged / encumbered to total shares	
1	Mr. Bisham Kumar Gupta	3686588	10.832	NIL	3686588	10.832	NIL	NIL

C. Change in Promoters' Shareholding (please specify, if there is no change)

S.NO.	Particulars	Shareholding at the beginning of the year	
		No. of shares	% of total shares of the company
	At the beginning of the year	3686588	10.83
	At the end of the year	3686588	10.83

D. Shareholding Pattern of top ten Shareholders: (Other than Directors, Promoters and Holders of GDRs and ADRs):

S.NO.	For Each of the Top 10 Shareholders	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of	No. of shares	% of total shares of



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			the company		the company
1.	BHAJEE COMMODITIES PVT. LTD.	3974957	11.679	3974957	11.679
5.	GG OVERSEAS PRIVATE LIMITED	1799003	5.286	2639418	7.755
3.	BHAJEE PORTFOLIO LIMITED	1725082	5.069	1437582	4.224
4.	SATENDER KUMAR GOYAL	1094005	3.214	1094005	3.214
5.	VIDYASAGAR MAHAVIRPRASAD SAH	903785	2.675	903785	2.655
6.	NITIN GUPTA	884444	2.599	884444	2.599
7.	AMIT JAIN	869128	2.554	869128	2.554
8.	ASHLAR SECURITIES PVT. LTD.	840415	2.469	805000	2.365
9.	PREM SHANKAR	747500	2.196	747500	2.196
10.	JAGDISH CHAND GUPTA	632500	1.858	632500	1.858

E.Shareholding of Directors and Key Managerial Personnel:

S.No	Shareholding of each Directors and each Key Managerial Personnel	Shareholding at the beginning of the year		Cumulative Shareholding during the year	
		No. of shares	% of total shares of the company	No. of shares	% of total shares of the company
1	Bhisham Kumar Gupta	3686588	10.83	3686588	10.83
2.	Sanjay Sharma	NIL	NIL	NIL	NIL
3.	VinubhaiPrabhudas Patel	NIL	NIL	NIL	NIL
4.	Vinod Kumar Sharma	NIL	NIL	NIL	NIL
5.	Chetna Pandey	NIL	NIL	NIL	NIL
6.	Gurav Gupta	NIL	NIL	NIL	NIL

V.INDEBTEDNESS -Indebtedness of the Company including interest outstanding/accrued but not due for payment.

	Secured Loans excluding deposits	Unsecured Loans	Deposits	Total Indebtedness
Indebtedness at the beginning of the financial year	NIL			
Indebtedness at the end of the financial year	NIL			

VI.REMUNERATION OF DIRECTORS AND KEY MANAGERIAL PERSONNEL -

A. Remuneration to Managing Director, Whole-time Directors and/or Manager:

S.No.	Particulars of Remuneration	Name of MD/WTD/ Manager
		Bhisham Kumar Gupta
1	Gross salary	12000
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	Nil



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	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	Nil
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	Nil
2	Stock Option	Nil
3	Sweat Equity	Nil
4	Commission - as % of profit - others, specify	Nil
5	Others, please specify	Nil
	Total (A)	12000

B. REMUNERATION TO KEY MANAGERIAL PERSONNEL OTHER THAN MD/MANAGER/WTD

S.No.	Particulars of Remuneration	Key Managerial Personnel
		CS Ms. Gaurav (resigned w.e.f31.03.2019)
1	Gross salary	1,95,400
	(a) Salary as per provisions contained in section 17(1) of the Income-tax Act, 1961	Nil
	(b) Value of perquisites u/s 17(2) Income-tax Act, 1961	Nil
	(c) Profits in lieu of salary under section 17(3) Income-tax Act, 1961	Nil
2	Stock Option	Nil
3	Sweat Equity	Nil
4	Commission	
	- as % of profit	Nil
	others, specify...	Nil
5	Others, please specify	Nil
	Total	1,95,400

VII. PENALTIES / PUNISHMENT/ COMPOUNDING OF OFFENCES:

Type	Section of the Companies Act	Brief Description	Details of Penalty / Punishment/ Compounding fees imposed	Authority [RD / NCLT/ COURT]	Appeal made, if any (give Details)
Penalty					
Punishment					
Compounding					
NIL					



Annexure II-

DECLARATION OF INDEPENDENCE

To
The Board of Directors
Bampsl Securities Limited
100-A, Cycle Market,
Jhandewalan Extn. ,
New Delhi – 110055

Sub: Declaration of Independence under Regulation 25 of SEBI(Listing of Obligation and Disclosure Requirements) and sub-section (6) of section 149 of the Companies Act, 2013.

I, **Mr. Sanjay Sharma (DIN:07342776)**, hereby certify that I am a Non-executive Independent Director of **Bampsl Securities Limited**, New Delhi and comply with all the criteria of independent director as envisaged in Regulation 25 of SEBI(Listing of Obligation and Disclosure Requirements) and the Companies Act, 2013.

I certify that:

- ✓ I possess relevant expertise and experience to be an independent director in the Company;
- ✓ I am/was not a promoter of the company or its holding, subsidiary or associate company;
- ✓ I am not related to promoters / directors / persons occupying management position at the board level or level below the board in the company, its holding, subsidiary or associate company;
- ✓ Apart from receiving director sitting fees / remuneration, I have/had no pecuniary relationship / transactions with the company, its promoters, its directors, its senior management or its holding, subsidiary or associate company, or their promoters, or directors, during the two immediately preceding financial years or during the current financial;
- ✓ none of my relatives has or had any pecuniary relationship or transaction with the company, its holding, subsidiary or associate company, or their promoters, or directors, amounting to 2% or more of its gross turnover or total income or Rs. 50 Lacs or such higher amount as may be prescribed, whichever is lower, during the two immediately preceding financial years or during the current financial year;
- ✓ I have not been an executive of the Company in the immediately preceding three financial years;
- ✓ Neither me nor any of my relatives:
 - a) holds or has held the position of a key managerial personnel or is or has been employee/executive of the company or its holding, subsidiary or associate company in any of the three financial years immediately preceding the financial year;
 - b) is or has been an employee or proprietor or a partner, in any of the three financial years immediately preceding the financial year of;
 - i) a firm of auditors or company secretaries in practice or cost auditors of the company or its holding, subsidiary or associate company; or
 - ii) any legal or a consulting firm that has or had any transaction with the company, its holding, subsidiary or associate company amounting to 10% or more of the gross turnover of such firm;
 - c) holds together with my relatives 2% or more of the total voting power of the company; or
 - d) is a Chief Executive or director, by whatever name called, of any nonprofit organization that receives 25% or more of its receipts from the company, any of its promoters, directors or its



BAMPSL SECURITIES LIMITED ANNUAL REPORT 2018-2019

- ✓ I am not a material supplier, service provider or customer or a lessor or lessee of the company;
- ✓ I am not less than 21 years of age.

Declaration

I undertake that I shall seek prior approval of the Board if and when I have any such relationship / transactions, whether material or non-material. If I fail to do so I shall cease to be an independent director from the date of entering in to such relationship / transactions.

Further, I do hereby declare and confirm that the above said information's are true and correct to the best of my knowledge as on the date of this declaration of independence and I shall take responsibility for its correctness and shall be liable for fine if any imposed on the Company, its directors, if the same found wrong or incorrect in future.

I further undertake to intimate immediately upon changes, if any, to the Company for updating of the same.

Thanking you,
Yours faithfully,

Sd/-

Chetna Pandey
DIN: 08164720
R/o :C-10/134, Yamuna Vihar
Delhi- 110053



BAMPSL SECURITIES LIMITED ANNUAL REPORT 2018-2019

Annexure-III

Form No. MR-3

SECRETARIAL AUDIT REPORT

FOR THE FINANCIAL YEAR ENDED 31ST March, 2019

[Pursuant to section 204(1) of the Companies Act, 2013 and rule No.9 of the Companies (Appointment and Remuneration Personnel) Rules, 2014]

SECRETARIAL AUDIT REPORT

FOR THE FINANCIAL YEAR ENDED ON 31ST MARCH 2019

To,
The Members,
BAMPSL SECURITIES LIMITED
100-A Cycle Market
Jhandewalan Extn.
New Delhi-110055

Our report of even date is to be read along with this letter.

1. Maintenance of Secretarial record is the responsibility of the management of the Company. Our responsibility is to express an opinion on these secretarial records based on our audit.
2. We have followed the audit practices and processes as were appropriate to obtain reasonable assurance about the correctness of the contents of the Secretarial records. The verification was done on test basis to ensure that correct facts are reflected in Secretarial records. We believe that the processes and practices, we followed provide a reasonable basis for our opinion.
3. We have not verified the correctness and appropriateness of financial records and Books of Accounts of the company.
4. Where ever required, we have obtained the Management representation about the compliance of laws, rules and regulations and happening of events etc.
5. The compliance of the provisions of Corporate and other applicable laws, rules, regulations, standards is the responsibility of management. Our examination was limited to the verification of procedures on test basis.
6. The Secretarial Audit report is neither an assurance as to the future viability of the company nor of the efficacy or effectiveness with which the management has conducted the affairs of the company.

Place: New Delhi
Date: 12-8-2019

M/s M. K. Mandal & Associates
Company Secretaries

Sd/-

(Manoj Kumar Mandal)
Prop.

M. No. : 5538
C.P. No. : 4968



To,
The Members,
BAMPSL SECURITIES LIMITED
100-A Cycle Market
Jhandewalan Extn
New Delhi-110055

I/We have conducted the secretarial audit of the compliance of applicable statutory provisions and the adherence to good corporate practices by M/s **Bampsl Securities Limited, CIN: L65100DL1995PLC065028** (hereinafter called the company). Secretarial Audit was conducted in a manner that provided me/us a reasonable basis for evaluating the corporate conducts/statutory compliances and expressing my opinion thereon.

Based on my/our verification of the M/s Bampsl Securities Limited's books, papers, minute books, forms and returns filed and other records maintained by the company and also the information provided by the Company, its officers, agents and authorized representatives during the conduct of secretarial audit, I/We hereby report that in my/our opinion, the company has, during the audit period covering the financial year ended on 31st March 2019, complied with the statutory provisions listed hereunder and also that the Company has proper Board-processes and compliance-mechanism in place to the extent, in the manner and subject to the reporting made hereinafter:

Corporate Information

I/we have examined the books, papers, minute books, forms and returns filed and other records maintained by M/s Bampsl Securities Limited ("the Company") for the financial year ended on 31st March, 2019 according to the provisions of:

- (i) The Companies Act, 2013 and the Companies Act, 1956 (to the extent applicable) (the Act) and the rules made there under;
- (ii) The Securities Contracts (Regulation) Act, 1956 ('SCRA') and the rules made there under;
- (iii) The Depositories Act, 1996 and the Regulations and Bye-laws framed there under;
- (iv) Foreign Exchange Management Act, 1999 and the rules and regulations made there under to the extent of Foreign Direct Investment, Overseas Direct Investment and External Commercial Borrowings;
- (v) The following Regulations and Guidelines prescribed under the Securities and Exchange Board of India Act, 1992 ('SEBI Act'):-
 - (a) The Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011. The Company has received disclosure under Regulation 30(1) and 30(2).
 - (b) The Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 1992. The Company has complied with Regulation 7(1) (a) in Form A. However the Company has adopted the prescribed code of conduct under the Regulations and Trading Plan has been complied.
 - (c) The Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009. The Company has not issued any securities during the Financial Year ended on 31st March 2019.
 - (d) The Securities and Exchange Board of India (Employee Stock Option Scheme and Employee Stock Purchase Scheme) Guidelines, 1999. The Company has not issued any securities under Stock Option to its employees during the Financial Year ended on 31st March 2019.
 - (e) The Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008. Not applicable to the company during the audit period.
 - (f) The Securities and Exchange Board of India (Registrars to an Issue and Share Transfer Agents) Regulations, 1993 regarding the Companies Act and dealing with client. The Company has appointed M/s Alankit Assignments Limited for share registry work.
 - (g) The Securities and Exchange Board of India (Delisting of Equity Shares) Regulations, 2009. Not applicable to the company during the audit period.



- (h) The Securities and Exchange Board of India (Buyback of Securities) Regulations, 1998. Not applicable to the company during the audit period.
- (vi) The other laws as may be applicable specifically to the Company are:
 - (a) The Reserve Bank of India Act, 1934. The Company has maintained Statutory Reserve under Section 451C of the Act.
 - (b) The Income tax Act, 1961
 - (c) The Securities and Exchange Board of India (Depositories and Participant) Regulations, 1996. The Company has complied with the regulation 55A regarding reconciliation of Share capital Audit.

/we have also examined compliance with the applicable clauses of the following:

- (i) Secretarial Standards on Meetings of the Board of Directors (SS-1) and General Meetings (SS-2) issued by The Institute of Company Secretaries of India (ICSI).
- (ii) The Listing Agreements entered into by the Company with Stock Exchange(s), namely Bombay Stock Exchange (BSE).

During the period under review the Company has complied with the provisions of the Act, Rules, Regulations, Guidelines, Standards, etc. mentioned above subject to the following observations:

1. The Directors have disclosed their interest in other firms/Companies to the Board of Directors pursuant to the provisions of the Act and the rules made there under, as required under the provisions of the Section 184 of the Companies Act, 2013.
2. The Company has filed the resolution in e-Form MGT-14 on 28th day of June, 2018 with the Registrar of Companies in respect of the Adoption of accounts and Boards Report, as required under the provisions of the Section 179(3) (g) of the Companies Act, 2013.
3. As per Section 203 of the Companies Act, 2013 read with Mr. Gurav Gupta, has been appointed as the Compliance officer (Company Secretary) of the Company w. e. f. 24th day of May, 2018 and the Company has filed the appointment of the same in e-Form MGT-14 and DIR-12 on 20th day of June, 2018 and on 31st day of May, 2018 respectively with the registrar of the Companies, NCT of Delhi and Haryana.
Further Mr. Gurav Gupta has resigned from the post of Compliance officer (Company Secretary) of the Company on 31st day of March, 2019 and e- Form MGT-14 and DIR-12 in respect of the same has been filed by the Company on 20th day of April, 2019 and 17th day of April, 2019 resp.
Thereafter, the Company has advised to appoint Compliance officer (Company Secretary) as required under Section 203 read with (Appointment and Remuneration of Managerial Personnel)Rule 8 of the Companies Act 2013 as soon as possible.
4. The Annual General Meeting for the financial year ended on 31st March, 2018 was held on 21st day of August, 2018 after giving proper notice to the members of the Company and to other respective persons further the resolutions passed thereat were recorded in the Minutes Book maintained for the purpose and the Company has filed e-Form MGT-15 on 17th day of September, 2018 with the Registrar of Companies.
Further, the Company has also given E-voting option to its shareholders as per Section 108 of the Companies Act, 2013.
5. Mr. VinubhaiPrabhudas Patel (DIN: 00906780) was liable to retire by rotation and re-appointed as Director at the AGM held on 21st August 2018.
6. Ms. Chetna Pandey (DIN: 08164720) was appointed as an Additional Director of the Company at the Board Meeting held on 23rd June, 2018 till the conclusion of the AGM held for the Financial Year 2017-18. Further Ms. Chetna Pandey has been regularised as an Independent Director of the Company at the AGM held on 21st August, 2018 for a term of five years.



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7. M/s. G. C. Agarwal & Associates, (Chartered Accountants) Statutory Auditor of the Company had resigned from the Post of the Auditor on 23rd day of May 2018.
Further M/s Manoj Ved& Company, Chartered Accountants, was appointed as the Statutory Auditor of the Company on 24th day of May, 2018 to fill the Casual vacancy as per the provisions of the Companies Act, 2013 and the Company has filed e-Form ADT-1 in respect of the same on 31st day of May, 2018.
8. The Company has not altered its Memorandum and Article of Association during the year under review.
9. The Company has appointed Ms. Nisha, (Chartered Accountants) as the internal Auditor of the Company under the provisions of Section 138 of the Companies Act, 2013 and M/s M. K. Mandal & Associates, (Practicing Company Secretaries) as the Secretarial Auditor of the Company as per Section 204 of the Companies Act, 2013, in this respect the Company had filed e- Form MGT-14 on 28th day of June, 2018 with the Registrar of Companies.
10. The Company has made quarterly compliances as per the listing agreement during the financial year under review.

I/we further report that

1. The Board of Directors of the Company is constituted with proper balance of Executive Directors, Non-Executive Directors and Independent Directors. The changes in the composition of the Board of Directors that took place during the period under review were carried out in compliance with the provisions of the Act.
2. Adequate notice is given to all directors to schedule the Board Meetings, agenda and detailed notes on agenda were sent at least seven days in advance, and a system exists for seeking and obtaining further information and clarifications on the agenda items before the meeting and for meaningful participation at the meeting.
3. Majority decision is carried through while the dissenting members' views are captured and recorded as part of the minutes.

I/we further report that there are adequate systems and processes in the company commensurate with the size and operations of the company to monitor and ensure compliance with applicable laws, rules, regulations and guidelines.

I/we further report that during the audit period there were no instances of:

1. Public/Right/Preferential issue of shares/ debentures/sweat equity except issue of Bonus Shares.
2. Redemption / buy-back of securities
3. Major decisions taken by the members in pursuance to section 180 of the Companies Act, 2013
4. Merger / amalgamation / reconstruction, etc.
5. Foreign technical collaborations

M/s M. K. Mandal& Associates
Company Secretaries
Sd/-
(Manoj Kumar Mandal)
Prop.
FCS No.: 5538
C P No.: 4968

Place: New Delhi
Date : 12-8-2019



BAMPSL SECURITIES LIMITED ANNUAL REPORT 2018-2019

NO DISQUALIFICATION CERTIFICATE FROM COMPANY SECRETARY IN PRACTICE

To,
The Members
Bampsl Securities Limited
100A, Cycle Market,
Jhandewalan, New Delhi-55

I have examined the relevant registers, records, forms, returns and disclosures received from the Directors of Bampsl Securities Limited having CIN L65100DL1995PLC065028 and having registered office at 100-A Cycle Market Jhandewalan Ext New Delhi-110055 (hereinafter referred to as 'the Company'), produced before me by the Company for the purpose of issuing this Certificate, in accordance with Regulation 34(3) read with Schedule V Para-C Clause 10(i) of the Securities Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015. In my opinion and to the best of my information and according to the verifications (including Directors Identification Number (DIN) status at the portal www.mca.gov.in) as considered necessary and explanations furnished to me by the Company & its officers, I hereby certify that none of the Directors on the Board of the Company as stated below for the financial year ending on 31 March, 2019, have been debarred or disqualified from being

appointed or continuing as Directors of companies by the Securities and Exchange Board of India, Ministry of Corporate Affairs, or any such other Statutory Authority

S.No	Name of the Directors	DIN	Date of Appointment the Company
1.	Bhisham Kumar Gupta	00110915	06/02/2006
2.	VinubhaiPrabhudas Patel	00906780	12/08/2017
3.	Sanjay Sharma	07342776	15/03/2017
4.	Chetna Pandey	08164720	23/06/2018

Ensuring the eligibility for the appointment / continuity of every Director on the Board is the responsibility of the management of the Company. My responsibility is to express an opinion on these, based on my verification. This certificate is neither an assurance as to the future viability of the Company nor of the efficiency or effectiveness with which the management has conducted the affairs of the Company.

Place: New Delhi
Date: 12-8-2019

M/s M. K. Mandal & Associates
Company Secretaries

Sd/-
(Manoj Kumar Mandal)
Prop.
M. No. : 5538
C.P. No. : 4968



CORPORATE GOVERNANCE

CORPORATE GOVERNANCE REPORT FOR THE YEAR 2018-2019

1. COMPANY PHILOSOPHY

In order to ensure sustainable returns to all stakeholders of the business, it is imperative, especially for large organizations, to adopt and follow certain policies, procedures and processes, which together constitute a “Code of Corporate Governance”. It is important that such a Code is institutionalized, to ensure transparency, consistency and uniformity of decision making processes and actions. Bampsl Securities Limited has always believed in such a “Sound” Code of Corporate Governance, as a tool for highest standards of management and business integrity.

The Board recognizes that governance expectations are constantly evolving and is committed to keep its standards of transparency and dissemination of information under review.

2. BOARD OF DIRECTORS

The Board of Directors of the Company comprised of Four Directors out of which one is Executive and three are Non-Executive Directors. Compositions of Board of Directors are as follows:

Composition of the Board

S. No.	Name of Director	Category	Attendance of Meeting during 2018-2019		No. of Directorship in other Companies	No. of committees in which Director is a Member	No. of Committees in which Director is a Chairman	Date of Appointment
			Board Meetings	Last AGM				
1.	Mr. Bhisham Kumar Gupta	Managing Director	10	Yes	2	2	-	06.02.2006
2.	Mr. Sanjay Sharma	Independent Director	10	Yes	1	3	3	15.03.2017
3.	Mr. Vinubhai Prabhudas Patel *	Non-Executive Director	10	Yes	3	1	-	12.08.2017
4.	Mrs. Chetna Pandey*	Independent Director	5	No	-	3	-	23.06.2018

Note: *Mrs. Chetna Pandey was appointed w.e.f. 23rd June 2018

During the year under review, the meeting of Board of Directors held ten times on 18/05/2018, 24/05/2018, 28/05/2018, 23/06/2018, 28/07/2018, 14/08/2018, 14/11/2018, 14/01/2019, 14/02/2019, 04/03/2019.

3. COMMITTEES OF THE BOARD

(a) Audit Committee

Audit Committee is duly constituted in terms of Section 177 of the Companies Act, 2013 and Regulation 18 of the SEBI Listing Regulations, 2015. The terms of reference of the Audit Committee are as under:

Terms of Reference :

- Recommendation for appointment, remuneration and terms of appointment of Auditors of the company.



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- Review and monitor auditor's independence and performance and effectiveness of audit process.
- Examination of the Financial Statement and the Auditor's Report thereon.
- Approval or to make any subsequent modification of transactions of the Company with related parties.
- Scrutiny of inter-corporate loans and investments.
- Valuation of undertakings or assets of the company, wherever it is necessary.
- Monitoring the end use of funds raised through public offers and related matters.
- Monitor the vigil mechanism established by the company for directors and employees to report genuine concerns in the prescribed manner.
- All the terms of reference prescribed by the Central Government from time to time,
- All the terms of reference guided in the listing agreement for the Audit Committee including amendments thereof from time to time.

Composition of Audit Committee

S. No.	Name	Designation in Committee	Designation in Company	No. of Meetings Attended
1.	Mr. Bhasham Kumar Gupta	Member	Managing Director	5
2.	Mr. Sanjay Sharma	Chairman	Independent Director	5
3.	Mrs. Chetna Pandey*	Member	Independent Director	-

*Mrs. Chetna Pandey was appointed w.e.f. 23rd June 2018

Note:

During the year under review, the meetings of Audit Committee held five times on 24/05/2018, 28/05/2018, 14/08/2018, 14/11/2018, 14/02/2019

Notes:

- a) During the year, the time gap between two meetings doesn't exceed by more than four months, with adequate quorum in every meeting.
- b) The Chairman of the Audit Committee will present in Annual General Meeting to answer the queries of Shareholders.
- c) Company Secretary of the Company acts as the Secretary of Committee.

The Committee is governed by a Charter which is in line with the regulatory requirements mandated by the Companies Act, 2013 and SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the gist of terms of reference is as follows :

Financial Reporting and Related Processes

- The Audit Committee has power, to investigate any activity, seek information from any employee and to obtain outside legal or other professional advice when ever consider fit and proper by the Committee.
- Oversight of the Company's financial reporting process and the disclosure of its financial information to ensure that the financial statement is correct, sufficient and credible.
- Recommending the Board, regarding the appointment, re-appointment, the replacement and removal of the statutory auditor, along with fixation of audit fees and other payments payable to auditor for other services rendered by him.
- Reviewing with the Management the quarterly financial statements, annual financial statements before submission to the Board for approval.
- Reviewing, with the management and performance of statutory auditors, and adequacy of the internal control systems including staffing and seniority of the official heading the department, reporting structure coverage.



- The Audit Committee is empowered to review the Management Discussion and Analysis of financial condition and results of operations, statement of significant related party transactions, submitted by management, internal audit reports relating to internal control weaknesses etc.
- All the members on the Audit Committee have the requisite qualification for appointment on the Committee and possess sound knowledge of finance, accounting practices and internal controls.

All the Members on the Audit Committee have the requisite qualification for appointment on the Committee and possess sound knowledge of finance, accounting practices and internal controls.

(b) Nomination and Remuneration Committee

Nomination and Remuneration Committee is duly constituted in terms of Section 178 of the Companies Act, 2013 and Regulation 19 of SEBI Listing Regulations, 2015. The terms of reference of the Nomination and Remuneration Committee are as under:

Terms of Reference :

- Formulation of the criteria for determining qualifications, Positive attributes and independence of a director;
- To identify persons qualifies to become directors and who may be appointed in senior management and to recommend to the Board for their appointment and removal;
- Recommend to the Board a policy relating to remuneration for directors, key managerial personnel and other employees;
- Evaluation of every director's performance & the Board;
- Perform such functions as are required to be performed under the ESOP Guidelines;
- Such other matters as may from time to time be required by any statutory, contractual or other regulatory requirements to be attended to by the Remuneration Committee.

Details of Composition:

S. No.	Name	Designation in Committee	Designation in Company	No. of Meetings Attended
1.	Mr. VinubhaiPrabhudas Patel	Member	Non Executive Director	1
3.	Mr. Sanjay Sharma	Chairman	Non Executive & Independent Director	1
4.	Mrs. Chetna Pandey*	Member	Non Executive & Independent Director	-

*Mrs. Chetna Pandey was appointed w.e.f. 23rd June 2018

During the year under review, the meetings of Nomination and Remuneration Committee held one time on 23/06/2018.

(c) Performance Evaluation Criteria for Independent Directors:

Pursuant to the provisions of the Companies Act, 2013 and SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, the Board has carried out the annual performance evaluation of its own performance, the Directors individually as well as the evaluation of the working of its Audit, Nomination and Remuneration and Stakeholders Relationship Committees. Evaluation of each director was done by all the other directors (excluding the director being evaluated). The criteria for evaluation have been determined by the Nomination Remuneration Committee. However, the actual evaluation process shall remain confidential and shall be a constructive mechanism to improve the effectiveness of the Board/Committee. An indicative list of factors that was evaluated as part of this exercise: Participation and contribution by a Director, Commitment (including guidance provided to senior management outside of Board/Committee meetings), Effective deployment of knowledge and expertise, Effective management of relationship with stakeholders, integrity and maintenance of confidentiality, independence of behaviour and judgement, and impact and influence. A structured questionnaire was prepared after taking into consideration inputs received from the Directors, covering various aspects of the Board's functioning such as adequacy of the composition of the Board and its Committees, Board culture, execution and performance of specific duties, obligations and governance.



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The performance evaluation of the Independent Directors was carried out by the entire Board. The performance evaluation of the Chairman and the Non Independent Directors was carried out by the Independent Directors who also reviewed the performance of the Secretarial Department. The Directors expressed their satisfaction with the evaluation process.

Terms of Appointment & Remuneration – CEO & MD

Mr. Bhisham Kumar Gupta, MD w.e.f. February 1st 2014

Period of Appointment	5 Years
Salary Grade	NIL
Allowances	NIL
Perquisites	NIL
Retrial Benefits	NIL
Performance Bonus	NIL
Sign- on Amount	NIL
Deferred Bonus	NIL
Minimum Remuneration	12000
Notice Period & Service Fees	6 Months Notice
Other	NIL

Note: Details of remuneration paid to the Directors are given in Form MGT – 9

(d) Remuneration Policy

The company remunerates its managerial personnel by way of salary as per the terms and conditions and within the limits laid down under the Companies Act, 2013. Increment for each year is determined by the Remuneration Committee subject to approval of the Board of Directors and members of the Company at the General Meeting. Further, the Company pays or reimburses such fair and reasonable expenditure, as may have been incurred while performing role as an Independent Director of the Company.

The details of remuneration of Executive Directors for the year ended 31.03.2019 was as follows:

Whole-Time Directors	Salary
Shri Bhisham Kumar Gupta	12,000

The appointments are contractual in nature and can be determined at any time by either party giving notice as per terms and conditions of appointment.

Non-Executive Directors are not paid any remuneration by way of sitting fees for attending the meetings of the Board and the Committees as may be decided by the Board from time to time, subject to approval of the shareholders, if required.

(e) Stakeholders' Relationship Committee

The Stakeholders relationship Committee (SRC) is duly constituted in terms of Section 178 of the Companies Act, 2013 and SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 Agreement.

Details of Composition:

S. No.	Name	Designation in Committee	Designation in Company	No. of Meetings Attended
1.	Mr. Bhisham Kumar Gupta	Member	Managing Director	Nil
2.	Mr. Sanjay Sharma	Chairman	Independent Director	Nil
3.	Mrs. Chetna Pandey*	Member	Independent Director	Nil

*Mrs. Chetna Pandey was appointed w.e.f. 23rd June 2018



No. of shareholder's complaints received during the year: NIL

No. of complaints not solved to the satisfaction of the Shareholders: NIL

No. of pending complaints: NIL

(f) Corporate Social Responsibility (CSR) Committee

Since the Company does not fulfill the provisions of Section 135 of Companies Act, 2013, therefore Corporate Social Responsibility is not applicable. The disclosures as per Rule 9 of Companies (Corporate Social Responsibility Policy) Rules, 2014 shall not be applicable.

(g) Risk Management Committee

During the year under review, the Company has not constituted any Risk Management Committee.

Business Risk Evaluation and Management is an on-going process within the Organization. The Company has a robust risk management framework to identify, monitor and minimize risks as also identify business opportunities.

The objectives and scope of the Risk Management Committee broadly comprises:

- Oversight of risk management performed by the executive management;
- Reviewing the BRM policy and framework in line with local legal requirements and SEBI guidelines;
- Reviewing risks and evaluate treatment including initiating mitigation actions and ownership as per a pre-defined cycle;
- Defining framework for identification, assessment, monitoring, mitigation and reporting of risks.

(h) Compliance Committee

Since it is a non-mandatory committee so the Company has not constituted Compliance Committee.

(ii) Capex Committee

Since it is a non-mandatory committee so the Company has not constituted Capex Committee.

(j) Independent Directors' Meeting

Out of Four Directors on the Board; Two Directors are Non Executive Independent Directors. The Directors on the Board who are Independent of Management meets as and when needed without members of management present and evaluate the performance of the Board and Management periodically.

During the year under review, the Independent Directors met on 24/05/2018, inter alia, to discuss:

- Evaluation of the performance of Non-independent Directors and the Board of Directors as a whole.
- Evaluation of the performance of the chairman of the Company, taking into account the views of the Executive and Non-executive directors.
- Evaluation of the quality, content and timelines of flow of information between the Management and the Board that is necessary for the Board to effectively and reasonably perform its duties.

All the Independent Directors were present at the Meeting.

(k) General Body Meetings

Details of last three Annual general meetings.



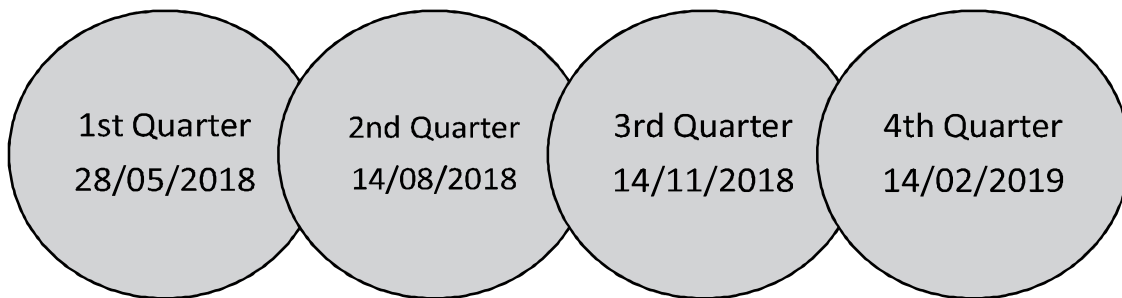
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AGM	Year ended 31 st March,	Venue	Date	Time	Special Resolutions Passed
21 st	2016	Khasra No. 24/15 Kakrola, New Delhi-110043	29.09.2016	10.00 a.m	NIL
22 nd	2017		29.09.2017	10.00 a.m	1. Amendment(s) to Memorandum of Association 2. Adoption of new set of Article of Association pursuant to the Companies Act,2013
23 rd	2018		21.08.2018	10:00 a.m	NIL

Extraordinary General Meeting (EGM)

No Extra ordinary General Meeting was held during the year

Meetings for approval of Quarterly and Annual Financial results were held on the following dates: -



(l) Disclosures:

(a) Materially Significant related party transactions

There was no transaction of material nature with any of the related party, which is in conflict with the interest of the company.

(b) Details of non compliance by the company, penalties, strictures imposed on the company by the Stock Exchange or SEBI or any authority on any matter related to capital markets during last 3 years.

There was no instance of levy of any penalties during the last three years.

(m) Means of Communication

- ✓ The company regularly intimates unaudited as well as audited financial results to the Stock Exchanges immediately after these are taken on record by the board. In terms of requirements of Regulation 33 of the SEBI Listing Regulations, 2015 the Quarterly/ Annual Financial Results of the Company are being published in newspapers and are also being displayed on the Website of the Company i.e. www.bampslsecurities.co.in.
- ✓ The Financial Results of the Company are published normally in the following newspapers:
 - ❖ Jansatta (Hindi Language)
 - ❖ Financial Express (English Language)

(n) General Shareholders Information:-

> Annual General Meeting Details:

Date : 30th Day of September, 2019

Time : 10 AM

Venue :Khasra No. 24/15, Kakrola, New Delhi-110043



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- **Financial Year:** From 1st April, 2018 to 31st March, 2019
- **Book Closure Dates:** 24th September, 2019 to 30th September, 2019 (both days inclusive).
- **Record date:** 23rd September, 2019
- **Dividend Payment Date:** NIL
- **Stock Exchanges and Codes:** Bombay Stock Exchange Limited & Code : 531591
- **ISIN Number for NSDL & CDSL :** INE802A01029

The monthly high and low stock price during the financial year 2018-19 is under:-

Month	BSE Price	
	High	Low
April, 2018	2.20	1.73
May, 2018	1.90	1.76
June, 2018	1.99	1.33
July, 2018	1.86	1.13
August, 2018	2.04	1.42
September, 2018	1.56	1.17
October, 2018	1.23	1.10
November, 2018	1.20	0.99
December, 2018	1.71	0.95
January, 2019	1.96	1.69
February, 2019	1.69	1.09
March, 2019	1.30	0.98

(o) Registrar and Transfer Agent :

Alankit Assignments Ltd.
3E/7 , Jhandewalan Extension,
New Delhi-110055

(p) SHARE TRANSFER SYSTEM / DIVIDEND AND OTHER RELATED MATTERS

❖ **Share Transfer System :**

The Company's shares are traded in the Stock Exchanges in Demat mode. These transfers are affected through NSDL & CDSL. Most of the transfers of shares take place in this form. Transfer of shares in the physical form is processed and approved weekly, and the certificates are returned to the shareholders with 15 days from the date of receipt, subject to documents being valid and complete in all respects. The Board has authorised Mr. Bhisam Kumar Gupta, Managing Director, to approve the transfer of shares.

❖ **Nomination facility for shareholding**

As per the provisions of Section 72 of the Companies Act, 2013, facility for making nomination is available for Members in respect of shares held by them. Members holding shares in physical form may obtain nomination form, from the Company. Members holding shares in dematerialized form should contact their Depository Participants (DP) in this regard. Shareholders desirous of making nominations are requested to send their requests in Form No. SH-13 in Duplicate (which will be made available on request) to the R&T Agent.

❖ **Permanent Account Number (PAN)**

Members who hold shares in physical form are advised that SEBI has made it mandatory that a copy of the PAN card of the transferee/s, members, surviving joint holders / legal heirs be furnished to the Company while obtaining the services of transfer, transposition, transmission and issue of duplicate share certificates.

❖ **Unclaimed Dividends**

Pursuant to Section 125 of the Companies Act, 2013, dividends that are unpaid / unclaimed for a period of seven years from the date they become due for payment are required to be transferred by the Company to the Investor Education & Protection Fund (IEPF) administered by the Central Government. So no unclaimed dividend is there.



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No dividend was declared by the company for the Financial Year 2018-19

❖ Pending Investors' Grievances

Any Member / Investor, whose grievance has not been resolved satisfactorily, may kindly write to the Company at the Registered Office with a copy of the earlier correspondence.

Address for Correspondence is given hereunder:

Registered Office:

Bampsl Securities Ltd.
100-A, Cycle Market, Jhandewalan Extn.
New Delhi-110055
Ph. No. 011-23556436
E-Mail : bampslsecurities@yahoo.co.in

S. No.	Nature of Queries/Compliant	Pending as on April 1,2018	Received during the year	Redressed during the year	Pending as on March 31, 2019
1	Transfer/Transmission of Duplicate Share Certificate	NIL	NIL	NIL	NIL
2	Non-receipt of Dividend	NIL	NIL	NIL	NIL
3	Dematerialisation/Rematerialisation of Shares	NIL	NIL	NIL	NIL
4	Complaints received from:	NIL	NIL	NIL	NIL
	SEBI	NIL	NIL	NIL	NIL
	Stock Exchanges/NSDL/CDSL	NIL	NIL	NIL	NIL
	ROC/MCA/Others	NIL	NIL	NIL	NIL
	Advocates	NIL	NIL	NIL	NIL
	Consumer Forum/Court Case	NIL	NIL	NIL	NIL
5	Others	NIL	NIL	NIL	NIL
	Grand Total	NIL	NIL	NIL	NIL

4. DISTRIBUTION OF SHAREHOLDING (AS ON 31ST MARCH, 2019)

S. No.	Range	Total Holders	% of Total Holders	Total holding	% of Total Capital
1	1-10000	6272	96.925	4146879	12.184
2	10001-20000	71	1.097	946528	2.781
3	20001-30000	37	0.572	884078	2.598
4	30001-40000	13	0.201	448626	1.318
5	40001-50000	12	0.185	527235	1.549
6	500001-100000	27	0.417	1813726	5.328
7	100001 and Above	39	0.634	25268088	74.241
	Total	6471	100	34035160	100

Statement showing Shareholding of more than 1% of the Capital as on March 31st, 2019: -

S. No.	Name of Shareholders	No. of Shares	Percentage of capital
1	BHAJEE COMMODITIES PVT. LTD.	3974957	11.679
2	GG OVERSEAS PRIVATE LIMITED	2639418	7.755
3	BHAJEE PORTFOLIO LIMITED	1839925	5.406
4	SATENDER KUMAR GOYAL	1094005	3.214
5	VIDYASAGAR MAHAVIRPRASAD SAH	903785	2.655
6	NITIN GUPTA	884444	2.599
7	AMIT JAIN	869128	2.554

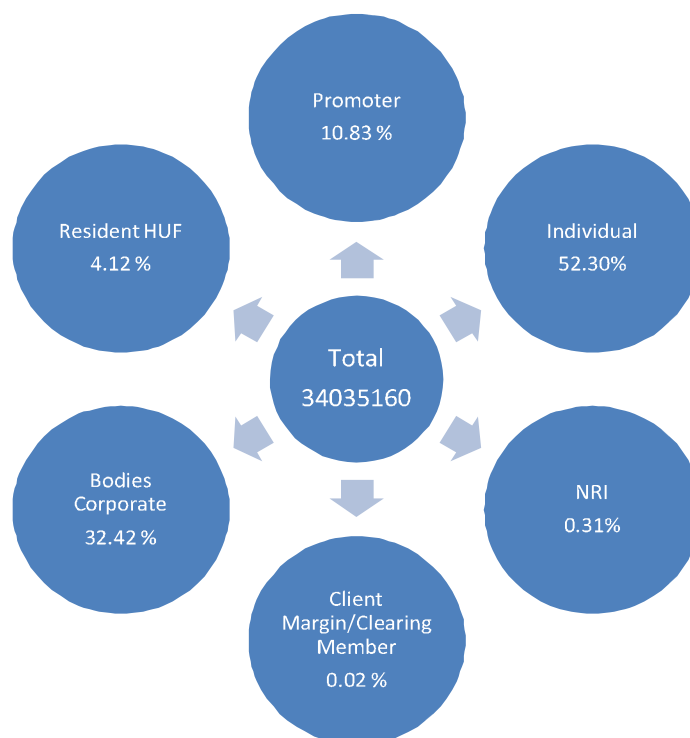


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8	ADROIT FIN SER PVT. LTD	610586	1.794
9	ASHLAR SECURITIES PVT. LTD.	805000	2.365
10	PREM SHANKAR	747500	2.196
11	JAGDISH CHAND GUPTA	632500	1.858
12	SAHIL GUPTA	615250	1.808
13	SURENDER KUMAR GUPTA	581388	1.708
14	JAYANTA BOSE	390291	1.147
15	SMC GLOBAL SECURITIES LTD.	461777	1.357
16	AMAN GUPTA	448500	1.318
17	SATINDER PAUL GUPTA	346449	1.018
18	NISHIL GUPTA	344075	1.011
19	MATA DEEN GUPTA	355761	1.045

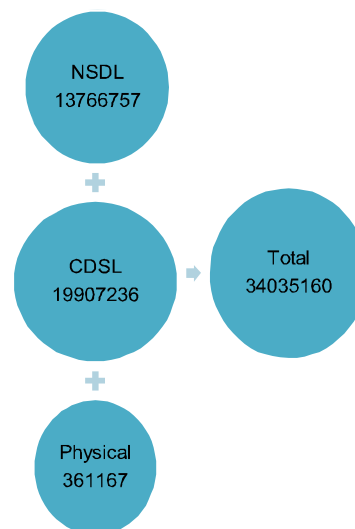
Brief Share Holding Pattern as on 31st March, 2019:

Category	No. of Shares Held	% of Share Holding
Promoter and Promoter Group	3686588	10.83
Bodies Corporate	11046582	32.42
Individuals	17801817	52.30
NRI	108159	0.31
Client Margin/ Clearing Member	8658	0.02
Resident (HUF)	1383356	4.12
Total	34035160	100



5. DEMATERIALIZATION OF SHARES AND LIQUIDITY

Particular	Number of Shares	Percentage (%)
Dematerialization:		
NSDL	13766757	40.45
CDSL	19907236	58.49
Sub-Total:	33673993	98.94
Physical:	361167	1.06
Total:	34035160	100



6. OUTSTANDING ADR'S/GDR'S

The Company has not issued any GDR's/ADR's or any convertible instruments in financial year 2018-19.

7. FAMILIARISATION PROGRAMME FOR INDEPENDENT DIRECTORS

The Company conducts formal induction program for its Independent Directors and provide suitable training to them which may include any or all of the following: Board roles, rights and responsibilities, whistle seeking to build working relationship among the Board members, Company's vision, mission, core values, Ethics and Corporate Governance practices, Familiarization with financial matters, management team and business operations, Meetings with stakeholders, and meetings with senior and middle management. The company supports Directors to continually update their skills and knowledge and improve their familiarity with the company and its business. The details of various familiarisation programmes provided to the Directors of the Company is available on the Company's website www.bampslsecurities.co.in

8. VIGIL MECHANISM/WHISTLE BLOWER POLICY

The Board approved the Vigil Mechanism in its duly held meeting that provides a formal mechanism for all Directors, employees and vendors of the company to approach the Vigilance Officer/Chairman of the Audit Committee of the company and make protective disclosures about the unethical behaviour, actual or suspected fraud or violation of the Company's Code of Conduct. Under the Policy, every Director, employee or vendor of the company has an assured access to the Vigilance Officer/Chairman of the Audit Committee. Details of the vigil Mechanism are given in the Directors' Report and have been posted on the website of the Company www.bampslsecurities.co.in.

9. PREVENTION OF INSIDER TRADING

The Company has adopted a Code of Conduct for Prevention of Insider Trading with a view to regulate trading in securities by the Directors and designated employees of the Company. The Code requires pre-clearance for dealing in the Company's shares and prohibits the purchase or sale of Company shares by the Directors and the designated employees while in possession of unpublished price sensitive information in relation to the Company and during the period when the Trading Window is closed. The Compliance Officer is responsible for implementation of the Code. The Insider trading Code has been posted on the website of the Company www.bampslsecurities.co.in.

All Board Directors and the designated employees have confirmed compliance with the Code.

10. COMPLIANCE WITH ACCOUNTING STANDARDS



The Financial Statements have been prepared in accordance with the Generally Accepted Accounting Principles in India (Indian GAAP). The company has prepared these financial statements to comply in all material respects with the Companies (Accounts) Rules, 2014 and the relevant provisions of the Companies Act, 2013. The significant accounting policies which are consistently applied have been set out in the Notes to the Financial Statements.

11. INTERNAL CONTROLS

The Company has adequate internal control system, commensurate with the size of its operations. Adequate records and documents are maintained as required by laws. The Company's audit Committee reviewed the internal control system. All efforts are being made to make the internal control systems more effective. The company has strong internal control system which has contributed all around advantages to the members and all other associates with the affairs of the company.

12. RECONCILIATION OF SHARE CAPITAL AUDIT

In terms of Regulation 55A of SEBI (Depositories and Participants) Regulation, 1996 with the Stock Exchanges, certificate, on Quarterly basis, have been issued by a Company Secretary-in-practice with respect to due compliance of share transfer formalities by the Company. As required by the Securities & Exchange Board of India (SEBI), the Company Secretary-in-practice carried out a Reconciliation of Share Capital Audit to reconcile the total admitted capital with National Securities Depository Limited and Central Depository Services (India) Limited ("Depositories") and held in physical form with the total issued and listed capital. The Secretarial Auditor confirms that the total paid-up capital is in agreement with the aggregate of the total number of shares in physical form and the total number of shares in dematerialised form (held with Depositories). The auditors' Certificate in regard to the same is submitted to BSE Limited.

13. MD / CFO CERTIFICATION

The MD and the CFO have issued certificate pursuant to the provisions of Regulation 17(8) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 of the Listing Agreement certifying that the financial statements do not contain any untrue statement and these statements represent a true and fair view of the Company's affairs. The said certificate is annexed and forms part of the Annual Report.

14. CODE OF CONDUCT

The Board of Directors has approved a Code of Business Conduct which is applicable to the Members of the Board and all employees. The Code has been posted on the Company's website www.bampslsecurities.co.in.

15. E-VOTING FACILITY TO MEMBERS

In compliance with provisions of Section 108 of the Companies Act, 2013 and Rule 20 of the Companies (Management and Administration) Rules, 2014, the Company is pleased to provide members the facility to exercise their right to vote at the 24TH Annual General Meeting (AGM) by electronic means and the business may be transacted through e-Voting Services provided by National Securities Depository Limited (NSDL).

For Bampsl Securities Limited

**Sd/-
(Bhisham Kumar Gupta)
Managing Director
DIN : 00110915**

**Place: New Delhi
Date: 29-5-2019**



MANAGEMENT DISCUSSION AND ANALYSIS REPORT

We have pleasure in submitting the management discussion & analysis report on the company's business. We have attempted to include discussions on all specified matters to the extent relevant, or within such limits that in our opinion are imposed by the company's own competitive position and market conditions in all areas of the company's operations.

- **FORWARD-LOOKING STATEMENTS**

This report contains forward-looking statements based on certain assumptions and expectations of future events. The Company, therefore, cannot guarantee that these assumptions and expectations are accurate or will be realized. The Company's actual results, performance or achievements can thus differ materially from those projected in any such forward-looking statements. The Company assumes no responsibility to publicly amend, modify or revise any forward looking statements, on the basis of any subsequent developments, information or events.

- **INDUSTRY STRUCTURE AND DEVELOPMENTS**

The company is engaged in the business of dealing and investing in shares and other securities along with financial services like spot financing, short term financial accommodation, and in other similar financial sector.

- **OPPORTUNITIES AND THREATS**

Our strength is our determination and team work, weakness is the low equity base, opportunities are multiples and threats are the vibrations in the economy and government policies.

The financial highlights are as under:

	(In Rs.)
Sales for the Year 2018-2019	12,96,86,101.08
Profit after tax	(9,69,272.29)

- **SEGMENT WISE REPORTING**

During the year under review, Company has achieved all sales through the business of dealing and investing in shares and other securities along with financial services like spot financing, short term financial accommodation, long term financial accommodation, and in other similar financial sector.

- **OUTLOOK**

As on 31st March, 2019, the issued, subscribed and paid up share capital of the company stood at Rs. 34,03,51,600 comprising 34,035,160 Equity shares of Rs. 10 each. The Sales during the year 2018-19 is Rs.12,96,86,101.08.

- **RISKS AND CONCERNS**

In any business, risks and prospects are inseparable. As a responsible management, the Company's principal Endeavour is to maximize returns. The Company continues to take all steps necessary to minimize its expenses through detailed studies and interaction with experts.

- **INTERNAL CONTROL AND THEIR ADEQUACY**

The Company has adequate internal control system, commensurate with the size of its operations. Adequate records and documents are maintained as required by laws.

The Company's audit Committee reviewed the internal control system. All efforts are being made to make the internal control systems more effective. The company has strong internal



control system which has contributed all around advantages to the members and all other associates with the affairs of the company.

- **DISCUSSION ON FINANCIAL PERFORMANCE WITH RESPECT TO OPERATIONAL PERFORMANCE**

For F.Y. 2018-19, Company's Profit after tax stood at Rs. (9,69,272.29) and the Revenue during the year 2018-19 is Rs.12,96,86,101.08

- **MATERIAL DEVELOPMENTS IN HUMAN RESOURCES/INDUSTRIAL RELATIONS FRONT, INCLUDING NUMBER OF PEOPLE EMPLOYED**

The Company has sufficient well trained number of staff to handle the day-to-day business operations. Strength of the business of an institution solely depends on the skill and competitiveness of its workforce. The company has specialized personnel in all areas of its operations, which strength is reflected by the financial results under report.

- **CAUTIONARY STATEMENT**

Statement in this Management's Discussion and Analysis detailing the Company's objectives, projections, estimates, expectations or predictions are "forward-looking statements" within the meaning of applicable securities laws and regulations. Actual results could differ materially from those expressed or implied.

DECLARATION AFFIRMING COMPLIANCE OF PROVISIONS OF THE CODE OF CONDUCT

To the best of my knowledge and belief and on the basis of declaration given to me, I hereby affirm that all the Board members and the senior management personnel have fully complied with the provisions of the code of conduct for Directors and Senior Management personnel during the financial year ended 31st March, 2019.

For Bampsi Securities Limited

**Sd/-
(Bhisham Kumar Gupta)
Managing Director
00110915**

**Place : New Delhi
Date : 29-5-2019**



CERTIFICATION BY MD/ CFO

The Board of Directors,
Bampsl Securities Ltd.

We have reviewed the financial statements and the cash flow statement of Bampsl Securities Ltd. for the year ended March 31, 2019 and to the best of our knowledge and belief:

- (a) (i) these statements do not contain any materially untrue statement or omit any material fact or contain statements that might be misleading;
- (a) (ii) these statements together present a true and fair view of the Company's affairs and are in compliance with existing Accounting Standards, applicable laws and regulations.
- (b) There are, to the best of our knowledge and belief, no transactions entered into by the Company during the year which are fraudulent, illegal or violative of the Company's Code of Conduct.
- (c) We accept responsibility for establishing and maintaining internal controls for financial reporting and that we have evaluated the effectiveness of the internal control systems of the Company pertaining to financial reporting and have disclosed to the Auditors and the Audit Committee, deficiencies in the design or operation of such internal controls, if any, of which we are aware and the steps we have taken or propose to take for rectifying these deficiencies.
- (d) We have indicated to the Auditors and the Audit Committee:
 - (i) Significant changes in internal control over financial reporting during the year;
 - (ii) Significant changes in accounting policies made during the year and the same have been disclosed in the notes to the financial statements; and
 - (iii) Instances of significant fraud of which we have become aware and the involvement therein, if any, of the management or an employee having a significant role in the Company's internal control system over financial reporting.

For Bampsl Securities Limited

**Sd/-
Bhisham Kumar Gupta
Managing Director
DIN: 00110915**

**Sd/-
Vinod Kumar Sharma
Chief Financial Officer**

**Place : New Delhi
Date : 26-7-2019**



CERTIFICATE ON CORPORATE GOVERNANCE

To,
The Members,
Bampsl Securities Ltd.

We have examined the compliance of conditions of corporate Governance by Bampsl Securities Limited ("the Company") for the financial years ended March 31, 2019 as stipulated in Regulation 72 of the SEBI (Listing Obligations And Disclosure Requirements) Regulations, 2015 and in clause 49 of the Listing Agreement of the said Company with the Stock Exchange(s).

The compliance of the conditions of Corporate Governance is the responsibility of the management. Our examination was limited to procedures and implementation thereof, adopted by the company for ensuring the compliance of the conditions of the corporate Governance. It is neither an audit nor an expression of opinion on the financial statements of the company.

In our opinion, and to the best of our information and according to the explanation given to us, we certify that the company has complied with the conditions of Corporate Governance as stipulated in the abovementioned Listing Agreement.

We further state that such compliance is neither an assurance as to the future viability of the Company nor the efficiency or effectiveness with which the management has conducted the affairs of the Company.

For ManojVed& Co.
Chartered Accountants
FRN 017729N

Sd/-
(Manoj Kumar Gupta)
Prop. **Date:**
M.No. 095689 **Place: New Delhi**

Place : New Delhi
Date : 26-7-2019



BAMPSL SECURITIES LIMITED ANNUAL REPORT 2018-2019

Pursuant to Clause 31(a) of Listing Agreement)

Format of covering letter of annual audit report to be filed with the stock exchange

S. No.	Particulars	Details
1.	Name of Company	Bampsl Securities Limited
2.	Annual Financial Statements for the year ended	31 st march, 2019
3.	Type of audit observation	Un-qualified
4.	Frequency of observation	No observation
5.	To be signed by	
	CEO/Managing Director	
	Auditors of the Company	
	Audit Committee Chairman	

For Bampsl Securities Ltd.

Sd/-
(Bhisham Kumar Gupta)
Managing Director

Sd/-
(Sanjay Sharma)
Chairman of Audit Committee

Sd/-
(Vinod kumar Sharma)
CFO

For ManojVed& Co.
Chartered Accountants
FRN 017729N

Sd/-
(Manoj Kumar Gupta)
Prop
M.No. 095689



BAMPSL SECURITIES LIMITED ANNUAL REPORT 2018-2019

Independent Auditor's Report

To the Members of **BAMPSL SECURITIES LIMITED**

Opinion

We have audited the financial statements of **BAMPSL SECURITIES LIMITED** ("the Company"), which comprise the balance sheet as at 31st March 2019, and the statement of Profit and Loss and statement of cash flows for the year then ended, and notes to the financial statements, including a summary of significant accounting policies and other explanatory information.

In our opinion and to the best of our information and according to the explanations given to us, the aforesaid financial statements give the information required by the Act in the manner so required and give a true and fair view in conformity with the accounting principles generally accepted in India, of the state of affairs of the Company as at 31st March 2019, its profit/loss and its cash flows for the year ended on that date.

Basis for Opinion

We conducted our audit in accordance with the Standards on Auditing (SAs) specified under section 143(10) of the Companies Act, 2013. Our responsibilities under those Standards are further described in the Auditor's Responsibilities for the Audit of the Financial Statements section of our report. We are independent of the Company in accordance with the Code of Ethics issued by the Institute of Chartered Accountants of India together with the ethical requirements that are relevant to our audit of the financial statements under the provisions of the Companies Act, 2013 and the Rules there under, and we have fulfilled our other ethical responsibilities in accordance with these requirements and the Code of Ethics. We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our opinion.

Emphasis of Matter

We draw attention to Note X of the financial statements, which describes the effects of a in the Company's facilities. Our opinion is not modified in respect of this matter.

Responsibilities of Management and Those Charged with Governance for the Financial Statements

The Company's Board of Directors is responsible for the matters stated in section 134(5) of the Companies Act, 2013 ("the Act") with respect to the preparation of these financial statements that give a true and fair view of the financial position, financial performance and cash flows of the Company in accordance with the accounting principles generally accepted in India, including the accounting Standards specified under section 133 of the Act. This responsibility also includes maintenance of adequate accounting records in accordance with the provisions of the Act for safeguarding of the assets of the Company and for preventing and detecting frauds and other irregularities; selection and application of appropriate accounting policies; making judgments and estimates that are reasonable and prudent; and design, implementation and maintenance of adequate internal financial controls, that were operating effectively for ensuring the accuracy and completeness of the accounting records, relevant to the preparation and presentation of the financial statements that give a true and fair view and are free from material misstatement, whether due to fraud or error.

In preparing the financial statements, management is responsible for assessing the Company's ability to continue as a going concern, disclosing, as applicable, matters related to going concern and using the going concern basis of accounting unless management either intends to liquidate the Company or to cease operations, or has no realistic alternative but to do so.

Those Board of Directors are also responsible for overseeing the Company's financial reporting process.

Auditor's Responsibilities for the Audit of the Financial Statements

Our objectives are to obtain reasonable assurance about whether the financial statements as a whole are free from material misstatement, whether due to fraud or error, and to issue an auditor's report that includes our opinion. Reasonable assurance is a high level of assurance, but is not a guarantee that an audit conducted in accordance with SAs will always detect a material misstatement when it exists. Misstatements can arise from fraud or error and are considered material if, individually or in the aggregate, they could reasonably be expected to influence the economic decisions of users taken on the basis of these financial statements.



As part of an audit in accordance with SAs, we exercise professional judgment and maintain professional skepticism throughout the audit. We also:

- Identify and assess the risks of material misstatement of the financial statements, whether due to fraud or error, design and perform audit procedures responsive to those risks, and obtain audit evidence that is sufficient and appropriate to provide a basis for our opinion. The risk of not detecting a material misstatement resulting from fraud is higher than for one resulting from error, as fraud may involve collusion, forgery, intentional omissions, misrepresentations, or the override of internal control.
- Obtain an understanding of internal control relevant to the audit in order to design audit procedures that are appropriate in the circumstances. Under section 143(3)(i) of the Companies Act, 2013, we are also responsible for expressing our opinion on whether the company has adequate internal financial controls system in place and the operating effectiveness of such controls.
- Evaluate the appropriateness of accounting policies used and the reasonableness of accounting estimates and related disclosures made by management.
- Conclude on the appropriateness of management's use of the going concern basis of accounting and, based on the audit evidence obtained, whether a material uncertainty exists related to events or conditions that may cast significant doubt on the Company's ability to continue as a going concern. If we conclude that a material uncertainty exists, we are required to draw attention in our auditor's report to the related disclosures in the financial statements or, if such disclosures are inadequate, to modify our opinion. Our conclusions are based on the audit evidence obtained up to the date of our auditor's report. However, future events or conditions may cause the Company to cease to continue as a going concern.
- Evaluate the overall presentation, structure and content of the financial statements, including the disclosures, and whether the financial statements represent the underlying transactions and events in a manner that achieves fair presentation.

We communicate with those charged with governance regarding, among other matters, the planned scope and timing of the audit and significant audit findings, including any significant deficiencies in internal control that we identify during our audit.

We also provide those charged with governance with a statement that we have complied with relevant ethical requirements regarding independence, and to communicate with them all relationships and other matters that may reasonably be thought to bear on our independence, and where applicable, related safeguards.

From the matters communicated with those charged with governance, we determine those matters that were of most significance in the audit of the financial statements of the current period and are therefore the key audit matters. We describe these matters in our auditor's report unless law or regulation precludes public disclosure about the matter or when, in extremely rare circumstances, we determine that a matter should not be communicated in our report because the adverse consequences of doing so would reasonably be expected to outweigh the public interest benefits of such communication.

Report on Other Legal and Regulatory Requirements

1. As required by the Companies (Auditor's Report) Order, 2016 ("the Order"), issued by the Central Government of India in terms of sub-section (11) of section 143 of the Companies Act, 2013, we give in the 'Annexure A', a statement on the matters specified in paragraphs 3 and 4 of the Order, to the extent applicable.
2. As required by Section 143 (3) of the Act, we report that:
 - a) We have sought and obtained all the information and explanations which to the best of our knowledge and belief were necessary for the purposes of our audit.
 - b) In our opinion, proper books of account as required by law have been kept by the Company so far as it appears from our examination of those books.
 - c) The Balance Sheet, the Statement of Profit and Loss and the Cash Flow Statement dealt with by this Report are in agreement with the books of account.
 - d) In our opinion, the aforesaid financial statements comply with the Accounting Standards specified under Section 133 of the Act, read with Rule 7 of the Companies (Accounts) Rules, 2014.



BAMPSL SECURITIES LIMITED ANNUAL REPORT 2018-2019

- e) On the basis of the written representations received from the directors as on 31st March 2019, taken on record by the Board of Directors, none of the directors is disqualified as on 31st March 2019, from being appointed as a director in terms of Section 164 (2) of the Act.
- f) With respect to the adequacy of the internal financial controls with reference to financial statements of the Company and the operating effectiveness of such controls, refer to our separate Report in 'Annexure B'.
- g) With respect to the other matters to be included in the Auditor's Report in accordance with Rule 11 of the Companies (Audit and Auditors) Rules, 2014, in our opinion and to the best of our information and according to the explanations given to us:
 - i. The Company has disclosed pending litigations and the impact on its financial position.
 - ii. The Company did not have any long-term contracts including derivative contracts for which there were any material foreseeable losses.
 - iii. There were no amounts which were required to be transferred to the Investor Education and Protection Fund by the Company.

Sd/-
Date : 29.05.2019
Place: Delhi

For ManojVed& Company
Chartered Accountants
FRN: 017729N

Sd/
CA Manoj Kumar Gupta
(Proprietor)
M.No. 095689



The Annexure referred to in paragraph 1 of Our Report on "Other Legal and Regulatory Requirements".

We report that:

- i. In respect of Fixed Assets:
 - a. The company has maintained proper records showing full particulars, including quantitative details and situation of its fixed assets.
 - b. As explained to us, fixed assets have been physically verified by the management at reasonable intervals; no material discrepancies were noticed on such verification.
 - c. The title deeds of immovable properties are held in the name of the company.
- ii. As explained to us, inventories have been physically verified during the year by the management at reasonable intervals. No material discrepancy was noticed on physical verification of stocks by the management as compared to book records.
- iii. The company has not granted loans to party covered in the register maintained under section 189 of the Companies Act, 2013.
 - a. The terms and conditions of the grant of such loans are not prejudicial to the company's interest;
 - b. The terms of arrangement do not stipulate any repayment schedule and the loans are repayable on demand with interest.
 - c. Since the term of arrangement do not stipulate any repayment schedule and the loans are repayable on demand, no question of overdue amounts will arise in respect of the loans granted to the parties listed in the register maintained under section 189 of the Act.
- iv. In respect of loans, investments, guarantees, and security, provisions of section 185 and 186 of the Companies Act, 2013 have been complied with.
- v. The company has not accepted any deposits from the public covered under sections 73 to 76 of the Companies Act, 2013.
- vi. As per information & explanation given by the management, maintenance of cost records has not been specified by the Central Government under sub-section (1) of section 148 of the Companies Act, 2013.
- vii. In respect of Statutory dues:
 - a. According to the records of the company, undisputed statutory dues including Provident Fund, Investor Education and Protection Fund, Employees' State Insurance, Income-tax, Sales-tax, Service Tax, Custom Duty, Excise Duty, value added tax, cess and any other statutory dues to the extent applicable, have generally been regularly deposited with the appropriate authorities. On the basis of our verification it has been found that at online portal of Income Tax there was an outstanding demand of Rs. 19,75 Lacs and Rs. 9710/- at traces portal.

According to the information and explanation given to us management disagree with the demand and no statutory dues as on 31st March, 2019 for a period of more than six months from the date they became payable are outstanding.



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- b. According to the information and explanations given to us, following amounts have not been deposited on account of disputed matters pending before appropriate authorities:

Nature of Statute	Nature of Dues	Amount (In Crores)	Period to which the matters pertains	Form where matter is pending
Income Tax Act	Income Tax	6.601	AY 2012-13	ITAT
Income Tax Act	Income Tax	0.015	AY 2014-15	CIT (Appeals)

- viii. In our opinion and according to the information and explanations given by the management, we are of the opinion that, the Company has not defaulted in repayment of dues to a financial institution, bank, Government or debenture holders, as applicable to the company.
- ix. The company has not raised any money by way of initial public offer or further public offer (including debt instruments) or by way of term loans during the year.
- x. According to the information and explanations given to us, we report that no fraud by the company or any fraud on the Company by its officers or employees has been noticed or reported during the year.
- xi. According to the information and explanations given to us, we report that managerial remuneration has been paid in accordance with the requisite approvals mandated by the provisions of section 197 read with Schedule V to the Companies Act.
- xii. The company is not a Nidhi Company. Therefore, clause (xii) of the order is not applicable to the company.
- xiii. According to the information and explanations given to us, all transactions with the related parties are in compliance with sections 177 and 188 of Companies Act, 2013 where applicable and the details have been disclosed in the Financial Statements etc. as required by the applicable accounting standards.
- xiv. The company has not made any preferential allotment or private placement of shares or fully or partly convertible debentures during the year under review.
- xv. Provisions of section 192 of Companies Act, 2013 have been complied with in case of non-cash transactions entered by the company with directors or persons connected with him.
- xvi. The company is not required to be registered under section 45-IA of the Reserve Bank of India Act, 1934.

For ManojVed& Company
Chartered Accountants
FRN: 017729N

Date : 29.05.2019
Place: Delhi
M.No. 095689

Sd/-
CA Manoj Kumar Gupta
(Proprietor)



Report on Internal Financial Controls with reference to financial statements

Report on the Internal Financial Controls under Clause (i) of Sub-section 3 of Section 143 of the Companies Act, 2013 ("the Act")

We have audited the internal financial controls over financial reporting of BAMPSL SECURITIES LIMITED ("the Company") as of March 31, 2019 in conjunction with our audit of the financial statements of the Company for the year ended on that date.

Management's Responsibility for Internal Financial Controls

The Company's management is responsible for establishing and maintaining internal financial controls based on the internal control over financial reporting criteria established by the Company considering the essential components of internal control stated in the Guidance Note on Audit of Internal Financial Controls over Financial Reporting issued by the Institute of Chartered Accountants of India. These responsibilities include the design, implementation and maintenance of adequate internal financial controls that were operating effectively for ensuring the orderly and efficient conduct of its business, including adherence to company's policies, the safeguarding of its assets, the prevention and detection of frauds and errors, the accuracy and completeness of the accounting records, and the timely preparation of reliable financial information, as required under the Companies Act, 2013.

Auditors' Responsibility

Our responsibility is to express an opinion on the Company's internal financial controls over financial reporting based on our audit. We conducted our audit in accordance with the Guidance Note on Audit of Internal Financial Controls Over Financial Reporting (the "Guidance Note") and the Standards on Auditing, issued by ICAI and deemed to be prescribed under section 143(10) of the Companies Act, 2013, to the extent applicable to an audit of internal financial controls, both applicable to an audit of Internal Financial Controls and, both issued by the Institute of Chartered Accountants of India. Those Standards and the Guidance Note require that we comply with ethical requirements and plan and perform the audit to obtain reasonable assurance about whether adequate internal financial controls over financial reporting was established and maintained and if such controls operated effectively in all material respects.

Our audit involves performing procedures to obtain audit evidence about the adequacy of the internal financial controls system over financial reporting and their operating effectiveness. Our audit of internal financial controls over financial reporting included obtaining an understanding of internal financial controls over financial reporting, assessing the risk that a material weakness exists, and testing and evaluating the design and operating effectiveness of internal control based on the assessed risk. The procedures selected depend on the auditor's judgement, including the assessment of the risks of material misstatement of the financial statements, whether due to fraud or error.

We believe that the audit evidence we have obtained is sufficient and appropriate to provide a basis for our audit opinion on the Company's internal financial controls system over financial reporting.

Meaning of Internal Financial Controls Over Financial Reporting

A company's internal financial control over financial reporting is a process designed to provide reasonable assurance regarding the reliability of financial reporting and the preparation of financial statements for external purposes in accordance with generally accepted accounting principles. A company's internal financial control over financial reporting includes those policies and procedures that

1. pertain to the maintenance of records that, in reasonable detail, accurately and fairly reflect the transactions and dispositions of the assets of the company;
2. provide reasonable assurance that transactions are recorded as necessary to permit preparation of financial statements in accordance with generally accepted accounting principles, and that receipts and expenditures of the company are being made only in accordance with authorisations of management and directors of the company; and



BAMPSL SECURITIES LIMITED ANNUAL REPORT 2018-2019

3. provide reasonable assurance regarding prevention or timely detection of unauthorized acquisition, use, or disposition of the company's assets that could have a material effect on the financial statements.

Inherent Limitations of Internal Financial Controls Over Financial Reporting

Because of the inherent limitations of internal financial controls over financial reporting, including the possibility of collusion or improper management override of controls, material misstatements due to error or fraud may occur and not be detected. Also, projections of any evaluation of the internal financial controls over financial reporting to future periods are subject to the risk that the internal financial control over financial reporting may become inadequate because of changes in conditions, or that the degree of compliance with the policies or procedures may deteriorate.

Opinion

In our opinion, the Company has, in all material respects, an adequate internal financial controls system over financial reporting and such internal financial controls over financial reporting were operating effectively as at March 31, 2019, based on the internal control over financial reporting criteria established by the Company considering the essential components of internal control stated in the Guidance Note on Audit of Internal Financial Controls Over Financial Reporting issued by the Institute of Chartered Accountants of India.

For MANOJ VED & COMPANY
Chartered Accountants
FRN: 017729N

Place:-DELHI
Date: 29 May 2019

Sd/-
MANOJ KUMAR GUPTA
(PROPRIETOR)



BAMPSL SECURITIES LIMITED ANNUAL REPORT 2018-2019

Balance Sheet as at 31st March 2019

₹ in rupees

Particulars	Note No.	As at 31st March 2019	As at 31st March 2018
EQUITY AND LIABILITIES			
Shareholder's funds			
Share capital	1	34,03,51,600.00	34,03,51,600.00
Reserves and surplus	2	3,50,40,362.54	3,60,09,634.83
Money received against share warrants			
		37,53,91,962.54	37,63,61,234.83
Share application money pending allotment			
Non-current liabilities			
Long-term borrowings			
Deferred tax liabilities (Net)	3		
Other long term liabilities			
Long-term provisions			
	4		
Current liabilities			
Short-term borrowings			
Trade payables			
(A) Micro enterprises and small enterprises			
(B) Others			
Other current liabilities	5	36,56,639.81	39,61,857.81
Short-term provisions	4	19,23,367.79	46,47,192.59
		55,80,007.60	86,09,050.40
TOTAL		38,09,71,970.14	38,49,70,285.23
ASSETS			
Non-current assets			
Property, Plant and Equipment			
Tangible assets	6	38,91,298.83	42,02,112.83
Intangible assets			
Capital work-in-Progress			
Intangible assets under development			
Non-current investments			
Deferred tax assets (net)	3	35,133.00	1,51,648.76
Long-term loans and advances	7		
Other non-current assets			
		39,26,431.83	43,53,761.59
Current assets			
Current investments			
Inventories	8	22,48,390.70	10,90,61,773.38
Trade receivables	9	9,68,55,947.11	1,26,747.43
Cash and cash equivalents	10	5,825.67	51,756.98
Short-term loans and advances	7	25,87,12,971.00	27,08,69,323.00
Other current assets	11	1,92,22,403.83	5,06,922.85
		37,70,45,538.31	38,06,16,523.64
TOTAL		38,09,71,970.14	38,49,70,285.23

The accompanying notes are an integral part of the financial statements.

As per our report of even date
For MANOJ VED & COMPANY
Chartered Accountants
(FRN: 017729N)

For and on behalf of the Board of
Directors

Sd/-
MANOJ KUMAR GUPTA
PROPRIETOR
Membership No.: 095689
Place: DELHI
Date: 29/05/2019

Sd/-
VINOD KUMAR SHARMA
CFO(KMP)
DIN: AATPS2033C

Sd/-
SANJAY SHARMA
Director
DIN: 07342776

Sd/-
BHISHAM KUMAR GUPTA
Managing Director
DIN: 00110915



BAMPSL SECURITIES LIMITED ANNUAL REPORT 2018-2019

Statement of Profit and loss for the year ended 31st March 2019

₹ in rupees

Particulars	Note No.	31st March 2019	31st March 2018
Revenue			
Revenue from operations	12	12,96,86,101.08	12,06,18,704.72
Less: Excise duty			
Net Sales		12,96,86,101.08	12,06,18,704.72
Interest Income (net)	13	1,89,92,117.00	1,23,90,970.00
Other income	14	490.00	120.00
Total revenue		14,86,78,708.08	13,30,09,794.72
Expenses			
Cost of material Consumed			
Purchase of stock-in-trade		3,28,75,952.63	22,20,74,041.97
Changes in Inventories of Stock in Trade			
Changes In Inventories.		10,68,13,382.68	(10,66,08,945.85)
Employee benefits Expenses	15	4,36,074.00	6,86,643.00
Finance costs			
Depreciation and amortization expenses	16	3,90,678.00	8,54,541.85
Other expenses	17	90,05,657.30	1,57,99,901.88
Total expenses		14,95,21,744.61	13,28,06,182.85
Profit before exceptional, extraordinary and prior period items and tax		(8,43,036.53)	2,03,611.87
Exceptional items			
Profit before extraordinary and prior period items and tax		(8,43,036.53)	2,03,611.87
Extraordinary items			
Prior period item			
Profit before tax		(8,43,036.53)	2,03,611.87
Tax expenses			
Current tax	18		2,50,000.00
Deferred tax	19	1,16,515.76	(1,51,907.00)
Excess/short provision relating earlier year tax	20	9,720.00	(19,68,895.92)
Profit(Loss) for the period		(9,69,272.29)	20,74,414.79
Earning per share			
Basic			
Before extraordinary Items		(0.03)	0.06
After extraordinary Adjustment			
Diluted			
Before extraordinary Items		(0.03)	0.06
After extraordinary Adjustment			

The accompanying notes are an integral part of the financial statements.

As per our report of even date
For MANOJ VED & COMPANY
Chartered Accountants
(FRN: 017729N)

For and on behalf of the Board of
Directors

Sd/-
MANOJ KUMAR GUPTA
PROPRIETOR
Membership No.: 095689
Place: DELHI
Date: 29/05/2019

Sd/-
VINOD KUMAR SHARMA
CFO(KMP)
DIN: AATPS2033C

Sd/-
SANJAY SHARMA
Director
DIN: 07342776

Sd/-
BHISHAM KUMAR GUPTA
Managing Director
DIN: 00110915



BAMPSL SECURITIES LIMITED ANNUAL REPORT 2018-2019

CASH FLOW STATEMENT FOR THE YEAR ENDED 31st March 2019

₹ in rupees

	PARTICULARS	31st March 2019	31st March 2018
A.	Cash Flow From Operating Activities		
	Net Profit before tax and extraordinary items(as per Statement of Profit and Loss)	(8,43,036.53)	2,03,611.87
	Adjustments for non Cash/ Non trade items:		
	Depreciation & Amortization Expenses	3,90,678.00	8,54,541.85
	Dividend income	(490.00)	(120.00)
	Interest received	(1,89,92,117.00)	(1,23,90,970.00)
	Other Inflows / (Outflows) of cash		(4,23,19,270.21)
	Operating profits before Working Capital Changes	(1,94,44,965.53)	(5,36,52,206.49)
	Adjusted For:		
	(Increase) / Decrease in trade receivables	(9,67,29,199.68)	18,42,71,812.09
	(Increase) / Decrease in inventories	10,68,13,382.68	(10,66,08,945.85)
	Increase / (Decrease) in other current liabilities	(26,62,527.04)	60,07,478.04
	(Increase) / Decrease in Short Term Loans & Advances	1,21,56,352.00	(8,41,25,737.00)
	(Increase) / Decrease in other current assets	(1,87,15,480.98)	
	Cash generated from Operations	(1,85,82,438.55)	(5,41,07,599.21)
	Income Tax (Paid) / Refund	(2,59,720.00)	(5,83,460.00)
	Net Cash flow from Operating Activities(A)	(1,88,42,158.55)	(5,46,91,059.21)
B.	Cash Flow From Investing Activities		
	Purchase of tangible assets	(79,864.00)	(40,560.00)
	Interest Received	1,89,92,117.00	1,23,90,970.00
	Dividends received	490.00	120.00
	Net Cash used in Investing Activities(B)	1,89,12,743.00	1,23,50,530.00
C.	Cash Flow From Financing Activities		
	Increase / (Decrease) in share capital		4,43,93,685.00
	Other Inflows / (Outflows) of cash	(1,16,515.76)	(20,74,414.79)
	Net Cash used in Financing Activities(C)	(1,16,515.76)	4,23,19,270.21
D.	Net Increase / (Decrease) in Cash & Cash Equivalents(A+B+C)	(45,931.31)	(21,259.00)
E.	Cash & Cash Equivalents at Beginning of period	51,756.98	73,015.98
F.	Cash & Cash Equivalents at End of period	5,825.67	51,756.98
G.	Net Increase / (Decrease) in Cash & Cash Equivalents(F-E)	(45,931.31)	(21,259.00)

The accompanying notes are an integral part of the financial statements.

As per our report of even date

For MANOJ VED & COMPANY

Chartered Accountants

(FRN: 017729N)

For and on behalf of the Board of

Directors

Sd/-
MANOJ KUMAR GUPTA
PROPRIETOR
Membership No.: 095689
Place: DELHI
Date: 29/05/2019

Sd/-
VINOD KUMAR SHARMA
CFO(KMP)
DIN: AATPS2033C

Sd/-
SANJAY SHARMA
Director
DIN: 07342776

Sd/-
BHISHAM KUMAR GUPTA
Managing Director
DIN: 00110915

Note:

1. The Cash Flow Statement has been prepared by Indirect Method as per AS-3 issued by ICAI.
2. Figures of previous year have been rearranged/regrouped wherever necessary
3. Figures in brackets are outflow/deductions



BAMPSL SECURITIES LIMITED ANNUAL REPORT 2018-2019

Notes to Financial statements for the year ended 31st March 2019

The previous year figures have been regrouped / reclassified, wherever necessary to confirm to the current year presentation.

Note No. 1 Share Capital

₹ in rupees

Particulars	As at 31st March 2019	As at 31st March 2018
Authorised :		
Equity Share Capital	35,00,00,000.00	35,00,00,000.00
Issued :		
Equity Share Capital	34,03,51,600.00	34,03,51,600.00
Subscribed and paid-up :		
Equity Share Capital	34,03,51,600.00	34,03,51,600.00
Total	34,03,51,600.00	34,03,51,600.00

Reconciliation of the Shares outstanding at the beginning and at the end of the reporting period

Equity shares

₹ in rupees

	As at 31st March 2019		As at 31st March 2018	
	No. of Shares	Amount	No. of Shares	Amount
At the beginning of the period	3,40,35,160	34,03,51,600.00	2,95,95,791	29,59,57,910.00
Issued during the Period			44,39,369	4,43,93,690.00
Redeemed or bought back during the period				
Outstanding at end of the period	3,40,35,160	34,03,51,600.00	3,40,35,160	34,03,51,600.00

Right, Preferences and Restriction attached to shares

Equity shares

The company has only one class of Equity having a par value Rs. 10.00 per share. Each shareholder is eligible for one vote per share held. The dividend proposed by the board of directors is subject to the approval of the shareholders in ensuing Annual General Meeting, except in case of interim dividend. In the event of liquidation, the Equity shareholders are eligible to receive the remaining assets of the company after distribution of all preferential amounts, in proportion to their shareholding.

Details of shareholders holding more than 5% shares in the company

Type of Share	Name of Shareholders	As at 31st March 2019		As at 31st March 2018	
		No. of Shares	% of Holding	No. of Shares	% of Holding
Equity [NV: 10.00]	Bhisham Kumar Gupta	36,86,588	10.83	36,86,588	10.83
Equity [NV: 10.00]	Bhajee Commodities P Ltd	39,74,957	11.68	39,74,957	11.68
Equity [NV: 10.00]	GG Overseas P Ltd	26,39,418	7.75	17,99,003	5.29
Equity [NV: 10.00]	Bhajee Portfolio Ltd	18,39,925	5.41	17,25,082	5.07
	Total :	1,21,40,888	35.67	1,11,85,630	32.87

Note No. 2 Reserves and surplus

₹ in rupees

Particulars	As at 31st March 2019	As at 31st March 2018
Surplus		
Opening Balance		
Add: General Reserve		(16,59,531.83)
Add: Statutory Reserve		(4,14,882.96)
Add: Profit for the year		20,74,414.79
Less: Loss for the year	(9,69,272.29)	
Closing Balance	(9,69,272.29)	
General reserve		
Opening Balance	75,65,110.66	5,02,99,263.83
Add: Addition during the year		16,59,531.83
Less : Deletion during the year		4,43,93,685.00
Closing Balance	75,65,110.66	75,65,110.66



BAMPSL SECURITIES LIMITED ANNUAL REPORT 2018-2019

Statutory Reserve		
Opening Balance	2,84,44,524.17	2,80,29,641.21
Add: Addition during the year		4,14,882.96
Less : Deletion during the year		
Closing Balance	2,84,44,524.17	2,84,44,524.17
Balance carried to balance sheet	3,50,40,362.54	3,60,09,634.83

Note No. 3 Deferred Tax

₹ in rupees

Particulars	As at 31st March 2019	As at 31st March 2018
Deferred tax assets		
Deferred tax assets	35,133.00	1,51,648.76
Gross deferred tax asset	35,133.00	1,51,648.76
Net deferred tax assets	35,133.00	1,51,648.76

Note No. 4 Provisions

₹ in rupees

Particulars	As at 31st March 2019			As at 31st March 2018		
	Long-term	Short-term	Total	Long-term	Short-term	Total
Other provisions						
Provision for Income Tax		8,14,460.00	8,14,460.00		2,50,000.00	2,50,000.00
Contingent Provision Against Standard Assets		11,08,907.79	11,08,907.79		9,69,767.59	9,69,767.59
Provision for Doubtful Debts					34,27,425.00	34,27,425.00
		19,23,367.79	19,23,367.79		46,47,192.59	46,47,192.59
Total		19,23,367.79	19,23,367.79		46,47,192.59	46,47,192.59

Note No. 5 Other current liabilities

₹ in rupees

Particulars	As at 31st March 2019	As at 31st March 2018
Others payables		
Advance From Director	30,15,092.00	30,11,390.00
Director Remuneration Payable	1,000.00	1,000.00
Auditor Remuneration Payable	1,03,094.00	29,000.00
Expenses Payable	3,77,832.81	8,44,509.81
Salary Payable	43,868.00	62,000.00
TDS Payable	18,626.00	13,958.00
Fees payable	97,127.00	
	36,56,639.81	39,61,857.81
Total	36,56,639.81	39,61,857.81



BAMPSL SECURITIES LIMITED

100-A, CYCLE MARKET, JHANDEWALAN EXTENSION, NEW DELHI-110055

CIN : L65100DL1995PLC065028, Ph No : 23556436, 23617013, 9818698466

Email : bamps securities@yahoo.co.in

(F. Y. 2018-2019)

Note No. 6 Property, Plant and Equipment as at 31st March 2019

₹ in rupees

Assets	Gross Block			Accumulated Depreciation/ Amortisation			Net Block			
	Balance as at 1st April 2018	Additions during the year	Addition on account of business acquisition	Deletion during the year	Balance as at 31st March 2019	Balance as at 1st April 2018	Provided during the year	Deletion / adjustments during the year	Balance as at 31st March 2019	Balance as at 31st March 2018
A Tangible assets										
Own Assets										
Air Condition	23,400.00				23,400.00	8,073.27	1,573.00		9,646.27	13,753.73
Compter	10,81,900.00	79,864.00			11,61,764.00	10,41,188.00	35,611.00		10,76,799.00	84,965.00
Office Equipments	1,61,965.00				1,61,965.00	1,61,965.00			1,61,965.00	
Fax	6,500.00				6,500.00	6,500.00			6,500.00	
Machinery	9,15,250.00				9,15,250.00	9,15,250.00			9,15,250.00	
Generator	1,12,640.00				1,12,640.00	1,12,640.00			1,12,640.00	
Inventor	19,800.00				19,800.00	7,869.15	1,368.00		9,237.15	10,562.85
Printers	65,650.00				65,650.00	43,412.00	4,592.00		48,004.00	17,646.00
Car	9,48,800.00				9,48,800.00	2,51,823.00	1,12,688.00		3,64,491.00	5,84,309.00
Furniture and Fixtures	19,66,072.00				19,66,072.00	14,01,143.75	2,34,866.00		16,36,009.75	3,30,062.25
Land	28,50,000.00				28,50,000.00					28,50,000.00
Total (A)	81,51,977.00	79,864.00			82,31,841.00	39,49,864.17	3,90,678.00		43,40,542.17	38,91,298.83
P.Y Total	81,11,417.00	40,560.00			81,51,977.00	30,95,322.32	8,54,541.85		39,49,864.17	42,02,112.83

General Notes :

1. No Depreciation if remaining useful life is negative or zero.
2. If asset is used less than 365 days during current financial year then depreciation is equals to w.d.v as on 31-03-2018 less residual value.
3. Depreciation is calculated on pro-rata basis in case asset is purchased/sold during current F.Y.
4. If above assets is used for any time during the year for double shift, the depreciation will increase by 50% for that period and in case of the triple shift the depreciation shall be calculated on the basis of 100% for that period.



BAMPSL SECURITIES LIMITED ANNUAL REPORT 2018-2019

Note No. 7 Loans and advances

₹ in rupees

Particulars	As at 31st March 2019		As at 31st March 2018	
	Long-term	Short-term	Long-term	Short-term
Other loans and advances				
Unsecured, considered good(Head)		25,87,12,971.00		27,08,69,323.00
		25,87,12,971.00		27,08,69,323.00
Total		25,87,12,971.00		27,08,69,323.00

Note No. 8 Inventories

₹ in rupees

Particulars (Valued at cost or NRV unless otherwise stated)	As at 31st March 2019	As at 31st March 2018
	Quoted Equity Share(Fully Paid Up)	22,48,390.70
Unquoted Equity Share(Fully Paid Up)		10,67,10,000.00
Total	22,48,390.70	10,90,61,773.38

Note No. 9 Trade receivables

₹ in rupees

Particulars	As at 31st March 2019	As at 31st March 2018
	Exceeding six months	
Unsecured, Considered Good	1,59,66,592.00	1,26,747.43
Total	1,59,66,592.00	1,26,747.43
Less than six months		
Unsecured, Considered Good	8,08,89,355.11	
Total	8,08,89,355.11	
Total	9,68,55,947.11	1,26,747.43

Note No. 10 Cash and cash equivalents

₹ in rupees

Particulars	As at 31st March 2019	As at 31st March 2018
	Balance with banks	
In Current account	4,631.67	46,372.98
Total	4,631.67	46,372.98
Cash in hand		
Cash in hand	1,194.00	5,384.00
Total	1,194.00	5,384.00
Total	5,825.67	51,756.98

Note No. 11 Other current assets

₹ in rupees

Particulars	As at 31st March 2019	As at 31st March 2018
	Other Assets	
Income Tax refundable	1,55,549.00	4,89,714.00
Accrued Interest	1,85,13,976.00	
Income Tax (A y 12-13)	5,52,878.83	17,208.85
Total	1,92,22,403.83	5,06,922.85

Note No. 12 Revenue from operations

₹ in rupees

Particulars	31st March 2019	31st March 2018
	Sale of products	12,96,86,101.08
Net revenue from operations	12,96,86,101.08	12,06,18,704.72



BAMPSL SECURITIES LIMITED ANNUAL REPORT 2018-2019

Note No. 13 Interest Income (net)

₹ in rupees

Particulars	31st March 2019	31st March 2018
Interest Income (Net)	1,89,92,117.00	1,23,90,970.00
Total	1,89,92,117.00	1,23,90,970.00

Note No. 14 Other income

₹ in rupees

Particulars	31st March 2019	31st March 2018
Dividend Income	490.00	120.00
Total	490.00	120.00

Note No. 15 Employee benefits Expenses

₹ in rupees

Particulars	31st March 2019	31st March 2018
Salary to Employees	3,89,468.00	6,12,466.00
Stipend		38,401.00
Staff Welfare	34,606.00	23,776.00
Director Remuneration	12,000.00	12,000.00
Total	4,36,074.00	6,86,643.00

Note No. 16 Depreciation and amortization expenses

₹ in rupees

Particulars	31st March 2019	31st March 2018
Depreciation on tangible assets	3,90,678.00	8,54,541.85
Total	3,90,678.00	8,54,541.85

Note No. 17 Other expenses

₹ in rupees

Particulars	31st March 2019	31st March 2018
Advertisement Expenses	49,892.00	63,241.00
AGM Expenses	52,250.00	67,300.00
Audit Expenses	65,400.00	29,000.00
Bad debts written off	71,63,358.00	1,00,44,236.86
Bank charges	12,751.00	2,047.00
Business Promotion Expenses	24,848.00	43,467.00
Capital Increase Expense		3,60,484.00
CGST	1,559.73	
Clearing Charges	27.99	
Computer Expenses	3,250.00	32,878.00
Courier Expenses	40,920.00	90,506.00
Contingent Provision Against Standard Assets	1,39,140.20	3,16,165.04
Delay Charges	12.32	
Depository Expenses	35,783.50	54,102.98
DP Charges	1,298.00	
Diwali Expenses	8,975.00	8,595.00
Provision for bad and doubtful debts		34,27,425.00
Electricity expenses	69,358.00	62,553.00
Fees and Subscription Expenses	4,82,502.80	7,85,874.00
Internal Audit	13,600.00	70,180.00
Legal and Secretarial Expenses	35,520.00	16,100.00
Interest and Penalty	5,17,089.00	
Misc. Expenses	397.33	24,140.00



BAMPSL SECURITIES LIMITED ANNUAL REPORT 2018-2019

Office Repair and Maintance	12,000.00	23,702.00
Petrol and Conveyance Expenses	14,680.00	12,422.00
Photostate Expenses		1,010.00
Printing and Stationary Expenses	1,06,880.00	1,04,812.00
Professional fees	1,02,000.00	65,680.00
Repair AndMaintainance		25,767.00
Sebi Charges	49.59	
SGST	1,559.72	
Stamp Duty	1,525.44	
STT	13,880.42	
Short and Excess		(2.00)
Sitting Fees		38,000.00
Telephone expenses	17,746.00	24,416.00
Tour And Travels	12,050.00	5,800.00
Trans Charges	2,014.26	
Web Sit Development	3,339.00	
Total	90,05,657.30	1,57,99,901.88

Note No. 18 Current tax

₹ in rupees

Particulars	31st March 2019	31st March 2018
Current tax		2,50,000.00
Total		2,50,000.00

Note No. 19 Deferred tax

₹ in rupees

Particulars	31st March 2019	31st March 2018
Deferred Tax	1,16,515.76	(1,51,907.00)
Total	1,16,515.76	(1,51,907.00)

Note No. 20 Excess/short provision relating earlier year tax

₹ in rupees

Particulars	31st March 2019	31st March 2018
Adjustment for Previous Year Taxes	9,720.00	(19,68,895.92)
Total	9,720.00	(19,68,895.92)



BAMSAL SECURITIES LIMITED

SIGNIFICANT ACCOUNTING POLICIES & NOTES ON FINANCIAL STATEMENTS

Note No. : 1

1. Basis of accounting:-

These financial statements have been prepared in accordance with the Generally Accepted Accounting Principles in India (Indian GAAP) including the Accounting Standards notified under Section 133 of the Companies Act, 2013, read with Rule 7 of the Companies (Accounts) Rules, 2014 and the relevant provisions of the Companies Act, 2013.

The financial statements have been prepared under the historical cost convention on accrual basis.

2. Revenue Recognition: -

Expenses and Income considered payable and receivable respectively are accounted for on accrual basis except discount claims, rebates and retirement benefits which cannot be determined with certainty during the year.

3. Fixed Assets :-

Fixed assets are stated at their original cost of acquisition including taxes, freight and other incidental expenses related to acquisition and installation of the concerned assets less depreciation till date .

4. Depreciation :-

Depreciation on Fixed Assets is provided to the extent of depreciable amount on the Written down Value (WDV) Method/SLM method. Depreciation is provided based on useful life of the assets as prescribed in Schedule II to the Companies Act, 2013.

5. Investments :-

Investments are stated at cost.

6. Inventories :-

Inventories are valued as under:-

Inventories of shares is valued at Lower of cost or market value

7. Miscellaneous Expenditure:-

Miscellaneous Expenditure comprises of Preliminary expenses that are amortized over a period of five years.

8. Retirement Benefits:-

The retirement benefits are accounted on accrual basis.

9. Taxes on Income:-

Provision for current tax is made on the basis of estimated taxable income for the current accounting year in accordance with the Income Tax Act, 1961. The deferred tax for timing differences between the book and tax profits for the year is accounted for, using the tax rates and laws that have been substantively enacted by the balance sheet date. Deferred tax assets arising from timing differences are recognized to the extent there is virtual certainty with convincing evidence that these would be realized in future. At each Balance Sheet date, the carrying amount of deferred tax is reviewed to reassure realization.

10. Provisions, Contingent Liabilities and Contingent Assets:- (AS-29)

Provisions are recognized only when there is a present obligation as a result of past events and when a reliable estimate of the amount of the obligation can be made.

Contingent Liabilities is disclosed in Notes to the account for:-



BAMPSL SECURITIES LIMITED ANNUAL REPORT 2018-2019

- (i) Possible obligations which will be confirmed only by future events not wholly within the control of the company or
- (ii) Present Obligations arising from past events where it is not probable that an outflow of resources will be required to settle the obligation or a reliable estimate of the amount of the obligation cannot be made.

Contingent assets are not recognized in the financial statement since this may result in the recognition of the income that may never be realized.

General:

Except wherever stated, accounting policies are consistent with the generally accepted accounting principles and have been consistently applied.



BAMPSL SECURITIES LIMITED ANNUAL REPORT 2018-2019

BAMPSL SECURITIES LIMITED
Regd. Office : 100 A, Cycle Market, Jhandewalan Ext. New Delhi-110055

ATTENDANCE SLIP

Particulars to be completed by Member

Name of Member.....(Folio No..... (IN BLOCK LETTERS)

Client ID No.....

DP ID No.....

No. of Shares held... ..

Name of Proxy, if attending for Member..... (IN BLOCK LETTERS)

I hereby record my presence at the 24th Annual General Meeting held at Khasra No. 24/15 Kakrola, New Delhi-110043. at 10:00 A.M. on Monday the 30th September 2019.

.....
(Signature of Member/Proxy)

Note: Member/Proxies are requested to bring attendance slip, duly filled up and hand over at the reception. No blank form will be supplied at the reception.

-----Tear Here-----

BAMPSL SECURITIES LIMITED

Regd. Office: 100 A, Cycle Market, Jhandewalan Ext. New Delhi-110

PROXY FORM

I/We.....(FolioNo./ClientID.)
of.....
.....(Address)

Being a member/members of BAMPSL SECURITIES LTD. hereby appoint Mr./Miss/ Mrs.....
.....of.....

.....(Address).....
.....failing him/her, Mr./Miss/ Mrs.
of.....(Address)

as my/our proxy to vote for me/us on my/our behalf at the 24th Annual General Meeting held at Khasra No. 24/15 Kakrola, New Delhi-110043 at 10:00 A.M. on Monday the 30th September 2019.

Signed this -----day of-----2019

Stamp
[]

Note: The Proxy, in order to be effective, must be deposited at the Registered Office of the Company not less than 48 hours before the time for holding the meeting of which the person named in the proxy proposes to vote.



BOOK POST

If undelivered, please return to:
BAMPSL SECURITIES LTD.
100 A Cycle Market, Jhandewalan Ext.
New Delhi-110055.